

# CAMPAIGN FINANCE, LOBBYING, AND ETHICS DISCLOSURE

MARY, KYM, AND KAREN



# MARY

- Statewide candidates, all statewide and local ballot issue committees and incidental committees. (approximately 2,436 compliance inspections in 2012)
- Oversight of all other compliance inspections
- Senior Project Manager for Information Technology projects
- Human Resources for agency
- Budgeting and accounting
- Training for all of the above



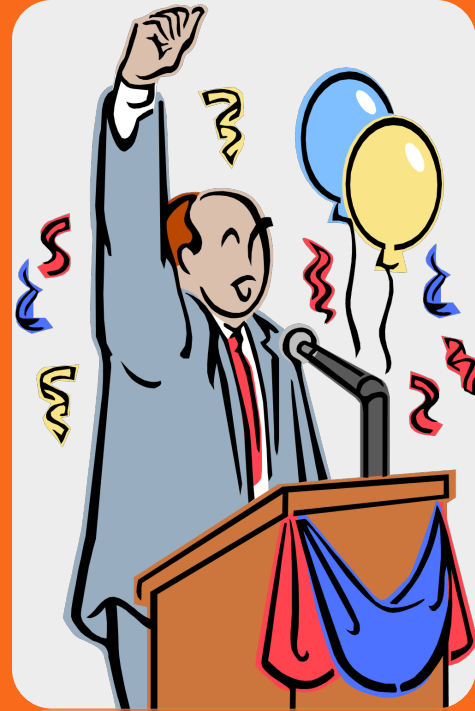
# KAREN

- Municipal, School, PAC's and Party Committees
- (2013 = 712 candidates of which 76 were "C"box status and required to report. Approximately 304 compliance inspections. In 2012 there were 261 independent committees, or 1,305 compliance inspections).
- Assists with Lobbyists and Principal registration and reporting
- Answer and route phone calls and mail
- Scan and upload all submitted forms to Filenet



# CAMPAIGN FINANCE

TITLE 13, CHAPTER 35 AND 37



# CERTIFICATION OF CANDIDATES

## Statewide and State District

- Open filing period is Jan to March of the election year.
- Within 5 days of filing every state candidate has to file two forms.
- Verify that the candidates have filed their required paperwork.
- Once verified a letter is sent to the SOS notifying her/him of the non-compliant candidates.

All non complaints candidates are held off the ballot.

2012 – 409 candidates were certified, 3 were held off the ballot.

## County, Municipal, and School

- Filing periods vary
- COPP has to work closely with all 56 county election administrators or the 21 third class school districts for confirmation that both COPP and their office has all of the required forms.
- Letter sent to COPP requesting certification
- Lastly, a letter is sent in response that certifies all compliant candidates.
- 2012 – 426 local candidates were certified.

# COMPLIANCE INSPECTIONS

## Financial Disclosure Reports (Form C-5 and Form C-6):

- Every statement and report submitted to COPP must be inspected within 20 days. (13-37-121(1), MCA)
- Any errors or omissions result in contacting the treasurer via email, letter, or telephone if necessary. Minor corrections can be made by the staff. Most corrections are made by amending the report.
- Failure to file a required report can result in a candidate either being held off a ballot or an election certificate being withheld.
- ***5684 Total Compliance Inspections in 2012***

# KYM

- State district and county candidates (approximately 693 total candidates and 2,208 compliance inspections in 2012)
- Handles Lobbyist and Principal registration and reporting

## 2013 Legislative Session:

- ❑ Principals = 436
- ❑ Lobbyists = 427
- ❑ Approximate number of L-5 inspections = 872
- Webmaster
- Database management
- Project Manager for Information Technology Projects



# CAMPAIGN PUBLIC DISCLOSURE

[www.politicalpractices.mt.gov](http://www.politicalpractices.mt.gov)

- **Candidate and Committee Registrations**
- **Financial Reports**
- **Business Disclosure Statements**
- **FAQ's**
- **Recent Decisions**



# ETHICS

Business Disclosure Statement (Form D-1)


Multiple Public Employment Disclosure (E-1)

Title 2, Chapter 2 Code of Ethics

No jurisdiction over local elected officials or local public employees




# BUSINESS DISCLOSURE STATEMENT (FORM D-1)

- Type of business which currently engaged or formerly engaged in
  - Past employment or present employment where benefits are received
  - Business, partnerships, corporations, trusts in which an interest is held
  - Entities in which the candidate is an officer or director
  - Real property
  - Every statewide and state district candidate (minus judiciary) is required to file a D-1. Department heads and holdover Senators are required to file a D-1 each even-numbered year.
  - Available on the COPP website
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# MULTIPLE PUBLIC EMPLOYMENT DISCLOSURE STATEMENT (FORM E-1)

All public officers, legislators, and public employees who are employed by more than one public employer for overlapping periods of time are required to file a Form E-1 (MCA, 2-2-104)

## Reported Information

1. Name and address
  2. Date of multiple employment
  3. Title(s) or descriptions of each overlapping position
  4. Amounts paid by each employer
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# **LOBBYISTS AND PRINCIPALS**

TITLE 5, CHAPTER 7

# LOBBYISTS AND PRINCIPAL REGISTRATION

- ❑ **L-1 Lobbyist License Application**
- ❑ **L-2 Principal Authorization Statement**
- ❑ **L-5 Lobbyist Financial Disclosure**
  - ❑ January and Post session reports are required for every principal, plus a session year end and non-session year end when applicable
  - ❑ Late reporting results in a \$50 per day penalty up to \$2500

**Each application and report is required to be inspected within 10 days.**

# Lobbyist and Principal Search

[▶ INSTRUCTIONS](#) [▶ HOW DO I](#) [▶ FEEDBACK](#)

## Welcome to the Lobbyist and Principal Search.

Select a session and search for a lobbyist/principal, or view all lobbyists and principals for a certain session.

Step 1	Step 2
Select a session: <input type="text" value="2013 - 2014"/>	<input type="radio"/> Search for a Lobbyist <input type="radio"/> Search for a Principal <input type="radio"/> View all Lobbyists <input type="radio"/> View all Principals


You can use this service to search current session data. If you'd like to search historical data, please e-mail [cpphelp@mt.gov](mailto:cpphelp@mt.gov) or call (406) 444-2942.

# OUTREACH AND STUFF

EDUCATING THE CANDIDATES AND COMMITTEES



## WHAT ELSE...

- ❖ **Campaign Finance and Practices Training (7 training in 2012)**
  - ❖ **Lobbyists and Principals Reporting Training (1 in 2013)**
  - ❖ **Currently working on new campaign finance database, online interface and public search**
  - ❖ **Fields hundreds of phone calls a week**
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THANK YOU

