CHAPTER 7

Security and Control

Inmate Supervision and Management

07.01:

- Facility employees observe all inmates at least every thirty (30) minutes on an irregular schedule and the time of all such checks are logged.

- More frequent checks are made of persons who are violent, suicidal, mentally ill, intoxicated, or who have other special problems or needs warranting closer observation.

Compliance Determination

Policy and Procedures: refer to written policies and procedures, written protocols
Documentation: daily logs, records, suicide watch logs, computer programs, etc..
Observation: observe staff during checks
Measure:
Interviews: staff, inmates
Tests:

Compliant ◇
Partial compliance ◇
Non-compliant ◇
Not applicable ◇

Comments:

Initial
Date

- Four/five point restraints (both arms and legs, or both arms, legs, and head secured) are used only in extreme instances and only when other types of restraints have proven ineffective.

- Advance approval is secured from the facility administrator/designee before an inmate is placed in a four/five point restraint.

- The health authority or designee must be notified to assess the inmate's medical and mental health condition, and to advise whether, on the basis of serious danger to self or others, the inmate should be in a medical/mental heath unit for emergency involuntary treatment with sedation and/or other medical management, as appropriate.

- If the inmate is not transferred to a medical/mental health unit and is restrained in a four/five point position, the following minimum procedures are followed;
  - Direct visual observation by staff is continuous prior to obtaining approval from the health authority or designee
  - Subsequent visual observation is made at least every 15 minutes
  - Restraint procedures are in accordance with guidelines approved by the designated health authority.
  - All decisions and actions are documented.

Compliance Determination

Policy and Procedures: refer to written policies and procedures, written protocols, use of force policy
Documentation: incident reports, documentation of medical staff approval, training records
Observation:
Measure:
Interviews: Staff
Tests: possibly have them show you how to use the restraints

Compliant ☐
Partial compliance ☐
Non-compliant ☐
Not applicable ☐

Comments:

Initial
Date

11.02

- Except for regulations necessary to ensure the safety and order of the facility, matters of medical, mental health and dental treatment shall be at discretion of the responsible clinicians.

Compliance Determination

Policy and Procedures: refer to written policies and procedures, written protocols,
Documentation:
Observation:
Measure:
Interviews: medical authority
Tests:

Compliant
Partial compliance
Non-compliant
Not applicable

Comments:

Initial
Date

11.04

- The facility has written policies and procedures to govern the delivery of medical, dental, and mental health services.
- Procedures are approved by the medical authority.
- The written policies and procedures shall address, at a minimum, the following:
  - Receiving screening
  - Collection of health appraisal data
  - Non-emergency medical services
  - Emergency medical and dental services
  - First-aid
  - Screening, referral, and care of mentally ill, suicide-prone, and disabled inmates
  - Arrangements for providing chronic and convalescent care
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  - Arrangements for providing close medical supervision of inmates with special medical or psychiatric problems
  - Delousing procedures
  - Arrangements for providing detoxification
  - Handling of pharmaceutical

- Infectious Diseases

Compliance Determination

Policy and Procedures: refer to written policies and procedures, written protocols,
Documentation: inmate medical screenings, incident reports, medication logs, inmate handbook, training records,
Observation: dispensing medications, health appraisals
Measure:
Interviews: medical authority, staff
Tests:

Compliant ◇
Partial compliance ◇
Non-compliant ◇
Not applicable ◇

Comments:

Initial
Date

Medical Screening and Health Appraisal

11.10

- Medical, dental and mental health screening is performed by the receiving officer on all inmates upon admission to the facility.
- The receiving officer is trained in the use of the screening instrument.
- The findings are recorded on a printed form approved by the facility medical authority.
- The medical screening includes, at a minimum, the following inquiry into:

  - Current illness and health problems, including dental problems, sexually transmitted diseases and other infectious diseases
  - Medication prescribed, in possession of, or currently taking and special health requirements
  - Use of alcohol or drugs, which includes types, methods, date or time of last use, and a history of problems that may have occurred after ceasing use
  - Past or present treatment or hospitalization for mental disturbance or suicidal behavior
  - Mental illness
  - Pregnancy, recent birth or miscarriage
  - If the inmate has any medical complaints, and
  - Other health problems designated by the facility physician.
  - Observation of:

- Behavior, including state of consciousness, mental status, appearance, conduct, tremor, or sweating, and
- Body deformities, trauma markings, bruises, jaundice, rashes, evidence of body vermin, ease of movement, etc.
- Disposition to:
  - Population
  - Population and referral to appropriate health care services, or
  - Immediate referral to health care services.

Compliance Determination

Policy and Procedures: refer to written policies and procedures, written protocols,
Documentation: training documents, completed screenings, screening forms
Observation: observe screening, ask staff to participate in a test screening
Measure:
Interviews:
Tests:

Compliant ◇
Partial compliance ◇
Non-compliant ◇
Not applicable ◇

Comments:

Initial
Date

11.11

- A health appraisal for each inmate is provided within fourteen days of admission which includes, at a minimum, the following:
  - Review of earlier medical screening information
  - Collection of additional data to complete medical, dental, psychiatric, and immunization histories
  - Recording of height, weight, pulse, blood pressure and temperature
  - Administration of other tests and examinations, as appropriate, and
  - Initiation of therapy, as appropriate.
- The health history and vital signs may be collected by the designated health trained employee. All other procedures must be performed by medical personal or the facility

medical authority. Health assessment data is recorded on forms approved by the medical/mental health authority.

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Compliance Determination

Policy and Procedures: refer to written policies and procedures, written protocols,
Documentation: completed screenings, screening forms, pull inmate files
Observation: observe screening; ask staff to participate in a test screening
Measure:
Interviews:
Tests:

Compliant
Partial compliance
Non-compliant
Not applicable

Comments:

Initial
Date

11.12

- A written suicide prevention and intervention program is reviewed and approved by a qualified medical or mental health professional.
- All staff with responsibility for inmate supervision is trained in the implementation of the program.

Compliance Determination

Policy and Procedures: refer to written policies and procedures, written protocols,
Documentation: training records, inspect plan for medical staff approval, inspect completed screenings, screening forms, pull inmate files
Observation: observe screening; ask staff to participate in a test screening
Measure:
Interviews: Staff
Tests:

Compliant
Partial compliance
Non-compliant
Not applicable

Comments:

Initial
Date

11.19

- Informed Consent standards of the jurisdiction are observed and documented for inmate care in a language understood by the inmate. In the case of minors, the informed consent of a parent, guardian, or legal custodian applies when required by law.
- When health care is rendered against the patient's will, it is in accordance with state and federal laws and regulations. Otherwise, any inmate may refuse, in writing, medical, dental, and mental health care. If the inmate declines to sign the refusal form, it must be signed by at least two witnesses.
- Upon declination, the form must be sent to medical and reviewed by a qualified health care professional. If there is a concern about decision-making capacity, an evaluation is done, especially if the refusal is for critical or acute care.
- Continuity of care is required from admission to transfer or discharge from the facility, including referral to community-based providers, when indicated.

Compliance Determination

Policy and Procedures: refer to written policies and procedures, written protocols,
Documentation: medical records, informed consent document
Observation:
Measure:
Interviews:
Tests:

Compliant ◇
Partial compliance ◇
Non-compliant ◇
Not applicable ◇

Comments:

Initial
Date

Emergency Services

11.21

- Written policy, procedure, and practice govern the use of restraints for medical and psychiatric purposes. At a minimum, the policy will address the following:
  - Conditions under which restraints may be used
  - Types of restraints to be applied for specific purposes
  - Identification of person(s) who may authorize the use of restraints
  - Monitoring procedures for inmates in restraints
  - Documentation of use of restraints

Compliance Determination

Policy and Procedures: refer to written policies and procedures, written protocols,
Documentation: incident reports, training records, medical records, documentation of use of restraints, documentation of monitoring,
Observation:
Measure:
Interviews: Staff
Tests:

Compliant ◇

Partial compliance ◇

Non-compliant ◇

Not applicable ◇

Comments:

Initial
Date

15.07

- Admission processes for a newly admitted inmate include, but are not limited to:
  - Recording basic personal data and information to be used for mail and visiting list
  - Criminal history check
  - Photographing and fingerprinting, including notations of identifying marks or other unusual physical characteristics
  - Assignment of registered number to the inmate
  - Medical, dental, and mental health screening
  - Screening to detect signs of drug/alcohol abuse
  - Suicide screening
  - Inventory of personal property

Compliance Determination

Policy and Procedures: refer to written policies and procedures, written protocols,
Documentation: Policy and procedures
Observation: Written policies
Measure:
Interviews: Administration, Supervisors, staff, inmates
Tests:

Compliant

Partial compliance

Non-compliant

Not applicable

Comments:

Initial
Date

CHAPTER 16

Classification

16.01

- The classification system is used to separate inmates into groups that reduce the probability of assault and disruptive behavior. At a minimum, the classification system evaluates the following:
  - Mental and emotional stability
  - Escape history
  - History of assaultive behavior
  - Medical status
  - Age
  - Need to keep separate
  - Gender