

Program Budget Comparison

The following table summarizes the total proposed budget by year, type of expenditure, and source of funding.

Program Budget Comparison								
Budget Item	Base Fiscal 2014	Approp. Fiscal 2015	Budget Fiscal 2016	Budget Fiscal 2017	Biennium Fiscal 14-15	Biennium Fiscal 16-17	Biennium Change	Biennium % Change
FTE	105.50	105.50	100.51	100.51	105.50	100.51	(4.99)	(4.73)%
Personal Services	7,214,716	8,218,269	8,446,167	8,449,353	15,432,985	16,895,520	1,462,535	9.48 %
Operating Expenses	5,318,330	5,332,745	5,827,783	5,827,674	10,651,075	11,655,457	1,004,382	9.43 %
Equipment & Intangible Assets	123,669	152,728	123,669	123,669	276,397	247,338	(29,059)	(10.51)%
Grants	14,373,858	16,821,493	17,948,858	17,948,858	31,195,351	35,897,716	4,702,365	15.07 %
Benefits & Claims	0	0	0	0	0	0	0	0.00 %
Transfers	1,595,226	1,936,624	1,595,226	1,595,226	3,531,850	3,190,452	(341,398)	(9.67)%
Total Costs	\$28,625,799	\$32,461,859	\$33,941,703	\$33,944,780	\$61,087,658	\$67,886,483	\$6,798,825	11.13 %
State/Other Special Rev. Funds	6,323,746	7,336,718	6,986,758	6,987,247	13,660,464	13,974,005	313,541	2.30 %
Federal Spec. Rev. Funds	22,302,053	25,125,141	26,954,945	26,957,533	47,427,194	53,912,478	6,485,284	13.67 %
Total Funds	\$28,625,799	\$32,461,859	\$33,941,703	\$33,944,780	\$61,087,658	\$67,886,483	\$6,798,825	11.13 %

Program Description

The Rail, Transit, and Planning Program provides: 1) a continuous statewide multimodal transportation planning process used to allocate highway program resources to ensure highway system performance goals are maintained and federal-aid highway and transit grant eligibility is maintained within the federal surface transportation programs; 2) an inventory of transportation infrastructure for the allocation of state fuel taxes to local governments; 3) support for the state's comprehensive statewide highway safety plan including technical analysis, performance tracking, and coordination with multiple administrative agencies and jurisdictions; 4) the point of contact for MDT for major developers seeking access onto the state's highway system; 5) mapping functions to aid in the publication of the state tourist map and geo-spatial infrastructure inventories; 6) transportation planning and programming assistance to metropolitan and urban areas; 7) administration and implementation of safety programs that help reduce traffic deaths, injuries, and property losses resulting from traffic crashes; 8) administration and implementation of environmental services that assure environmental compliance with all relative laws, rules, regulations, policies, orders, and agreements; and 9) responses to legislative or regulatory actions necessitating representation before courts, congressional hearings, the US Department of Transportation, the Surface Transportation Board, and others. The planning function addresses system areas that include buses for transit systems, street and highway improvements, railroad track rehabilitation, and considerations for non-motorized improvements.

Program Highlights

Rail, Transit, and Planning Division Major Budget Highlights
<ul style="list-style-type: none"> • The budget is an increase of 1.7% over FY 2015 • Federal transit grants are increased by \$1.4 million per year, bringing the level of FTA grants to \$12.5 million for the 2017 biennium • General budget reductions amounting to \$2.4 million are included in the request

Program Discussion -

The comparison table above provides that the budget for the Rail, Transit, and Planning Division is increased by 11.1% from the level of FY 2014 expenditures. However, the budget in this program would remain relatively flat in comparison to the FY 2015 budget. The total increase in the budget is \$3.0 million above the 2015 budget, and \$2.8 million is explained by the change in federal transit grants. When all other requests are taken into consideration, this budget is requested with an overall reduction of about \$2.4 million compared to FY 2015.

Comparison of FY 2015 Legislative Base to FY Adjusted Base

FY 2015 Appropriation Transactions - Department of Transportation					
Program	Legislative Appropriation	House Adj	Op Plan	Program Transfer	Total Executive Implementation
50 RAIL, TRANSIT & PLAN	\$32,461,859				\$32,461,859
Transfer Authority from Construction				910,000	910,000
Move Authority Between 1st Level Accts			(1,500)		(1,500)
Move Authority Between 1st Level Accts			1,500		1,500
Change in Funding (SS/FS)		(766,503)			(766,503)
Change in Funding (SS/FS)		495,804			495,804
Change in Funding (SS/FS)		270,699			270,699
Program Total	\$32,461,859	-	-	\$910,000	\$33,371,859

Budget authority of \$910,000 from the Construction Program was transferred to the Rail, Transit, and Planning Division for highway planning activities. The program also moved \$1,000 from operating expenses to personal services and \$500 from transfer authority to grant authority. Other changes seen in the figure above include the movement of authority between state special and federal special funds, as authorized in HB 2 language.

NOTE: The amounts shown in the table above do not match the program budget comparison table for FY 2015 due to an error in the executive numbers. The amount shown in the table understates the FY 2015 budget by \$910,000, the amount of the program transfer, which does not impact the 2017 biennium budget.

Comparison of FY 2014 Actual Expenditures to FY 2015 Appropriations

Relevant personal service statistics and attributes include:

- 88% of FTE time used in FY 2014
- 92.3% of personal services budget used in FY 2014
- Personal services were paid 31% state special and 69% federal special
- 3.00 FTE were given career ladder raises and 2.00 FTE were given strategic pay raises

Funding

The following table shows proposed program funding by source from all sources of authority.

Department of Transportation, 50-Rail, Transit, & Planning Program Funding by Source of Authority						
Funds	HB2	Non-Budgeted Proprietary	Statutory Appropriation	Total All Sources	% Total All Funds	
General Fund	0	0	0	0	0.00 %	
02282 FTA Local Match	708,512	0	0	708,512	5.07 %	
02349 Highway Non-Restricted Account	2,442,925	0	0	2,442,925	17.48 %	
02422 Highways Special Revenue	9,329,632	0	0	9,329,632	66.76 %	
02436 County DUI Prevention Programs	890,250	0	0	890,250	6.37 %	
02795 Trans Aid Special Revenue	602,686	0	0	602,686	4.31 %	
State Special Total	\$13,974,005	\$0	\$0	\$13,974,005	20.58 %	
03147 FTA Grants	25,896,355	0	0	25,896,355	48.03 %	
03407 Highway Trust - Sp Rev	17,935,694	0	0	17,935,694	33.27 %	
03828 Traffic Safety	10,080,429	0	0	10,080,429	18.70 %	
Federal Special Total	\$53,912,478	\$0	\$0	\$53,912,478	79.42 %	
Proprietary Total	\$0	\$0	\$0	\$0	0.00 %	
Total All Funds	\$67,886,483	\$0	\$0	\$67,886,483		

The Rail, Transit, and Planning Division is funded with a combination of state and federal special revenue funds. State special funding in the program is primarily provided through fuel taxes through the highway restricted fund. Highway state special revenue from both the restricted and non-restricted funds supports the overall administrative and operational costs of the program. Other state special funding includes:

- Federal transit authority (FTA) local match funds, which provides a local entity match for FTA grants
- Trans-aid special revenue, which in large part provides funding for transit services that serve the elderly and disabled (and can be used to match federal transit funds)
- County DUI prevention funds, which provide assistance to county DUI task force activities

Funding through the federal highway trust (03407) provides for costs of program administration, activities, and projects.

Budget Summary by Category

The following summarizes the total budget utilizing the FY 2015 Legislative base, present law adjustments, and new proposals.

Budget Item	-----General Fund-----				-----Total Funds-----			
	Leg. Budget Fiscal 2016	Leg. Budget Fiscal 2017	Leg. Biennium Fiscal 16-17	Percent of Budget	Leg. Budget Fiscal 2016	Leg. Budget Fiscal 2017	Leg. Biennium Fiscal 16-17	Percent of Budget
2015 Budget	0	0	0	0.00 %	32,461,859	32,461,859	64,923,718	0.00 %
PL Adjustments	0	0	0	0.00 %	1,479,844	1,482,921	2,962,765	0.00 %
New Proposals	0	0	0	0.00 %	0	0	0	0.00 %
Total Budget	\$0	\$0	\$0		\$33,941,703	\$33,944,780	\$67,886,483	

Present Law Adjustments -

The "Present Law Adjustments" table shows the changes from FY 2015 legislative appropriation to the budget proposed by the executive. PSPL adjusts all personal services. LGPL provides for adjustments to other expenditures such as operating expenses. Each is discussed in the narrative that follows. Total funds in the Present Law Adjustments table do not include proprietary funds budgeted in House Bill 2.

	-----Fiscal 2016-----					-----Fiscal 2017-----				
	FTE	General Fund	State Special	Federal Special	Total Funds	FTE	General Fund	State Special	Federal Special	Total Funds
DP 98 - LEG. Personal Services Present Law	0.00	0	(42,607)	271,505	228,898	0.00	0	(43,034)	275,118	232,084
DP 99 - LEG. Present Law	0.00	0	(232,853)	1,483,799	1,250,946	0.00	0	(231,937)	1,482,774	1,250,837
Grand Total All Present Law Adjustments	0.00	\$0	(\$275,460)	\$1,755,304	\$1,479,844	0.00	\$0	(\$274,971)	\$1,757,892	\$1,482,921

DP 98 - LEG. Personal Services Present Law -

The Personal Services Present Law Adjustments (PSPL) in the table below includes all present law adjustments related to personal services, including statewide present law personal services adjustments. This adjustment has been broken out by some of its component parts for a more detailed understanding of the adjustments. FY 2016 and FY 2017 contain the reductions in FTE made by the executive to implement the boilerplate language in HB 2.

Personal Services Present Law Adjustments				
	FY 2016			
	FTE	State Special	Federal Special	Total Funds
CP 98 PSPL Item				
State Share Health Insurance	100.51	\$14,475	\$34,373	\$48,848
Executive Implementation of 2015 Pay Increase		43,168	102,505	145,672
Fully Fund 2015 Legislatively Authorized FTE		57,459	100,699	158,158
Other		(157,709)	33,929	(123,780)
Personal Services Present Law Adjustments	100.51	(\$42,607)	\$271,505	\$228,898
FY 2017				
	FTE	State Special	Federal Special	Total Funds
CP 98 PSPL Item				
State Share Health Insurance	100.51	\$14,475	\$34,373	\$48,848
Executive Implementation of 2015 Pay Increase		43,338	102,335	145,672
Fully Fund 2015 Legislatively Authorized FTE		57,459	100,699	158,158
Other		(158,306)	37,712	(120,594)
Personal Services Present Law Adjustments	100.51	(\$43,034)	\$275,118	\$232,084

The personal services budget would increase by an average of \$230,491 per year under legislative present law adjustments. Adjustments include:

- The impacts of HB 13, employee health care, and the increase associated with the add-back of the 2015 biennium additional 2% vacancy savings - \$352,678/year

The “remainder of other” row in the figure above shows a reduction in the total personal services budget and consists of various adjustments such as the re-staffing related to retirements and the departure of long term employees. In these cases, personal service costs will generally be lower than previously experienced. Included in other is an adjustment of \$14,629 per year for overtime and differential pay, which will total \$44,924 per year for the biennium.

DP 99 - LEG. Present Law -

The following table outlines various components of the changes included in the LGPL adjustments.

Legislative Present Law Adjustments			
LGPL - CP 99 Item	FY 2016		
	State Special	Federal Special	Total Funds
Other Decision Points:			
BCD - Program Transfer	\$910,000	\$0	\$910,000
DP 5004 FTA Grants Increase	-	1,400,000	1,400,000
DP 50122 - Equipment Rental	11,608	-	11,608
Miscellaneous Other	(1,154,461)	83,799	(1,070,662)
Total LGPL	(\$232,853)	\$1,483,799	\$1,250,946
	FY 2017		
Other Decision Points:			
BCD - Program Transfer	\$910,000	\$0	\$910,000
DP 5004 FTA Grants Increase	-	1,400,000	1,400,000
DP 50122 - Equipment Rental	11,024	-	11,024
Miscellaneous Other	(1,152,961)	82,774	(1,070,187)
Total LGPL	(\$231,937)	\$1,482,774	\$1,250,837

The figure above includes all present law adjustments requested for operating expenses, equipment, grants, transfer, and debt service. The adjustments are shown in decision points to provide a mechanism for legislative discussion and decision. Other decision points include:

- Executive office authorized budget changes (BCD), for more information on the changes see the “Comparison of FY 2015 Legislative Base to FY Adjusted Base” of this report
- DP 5004 - Adjustment to FTA Transit Grant Funds – This request would provide an increase in federal special funds appropriations for Transit grants. The agency is expecting \$12.5 million of federal funding for FTA grants in the 2017 biennium
- DP 50122 - Equipment Rental - Program – This request would provide an increase to operating expenses to fund the increased use of equipment rentals through the Equipment Program. This request represents the expected increased use of equipment rentals in the program during the 2017 biennium

The “miscellaneous other” row in the figure above is a general budget reduction of \$1.1 million per year from FY 2015. The reduction amounts to approximately 4.2% of the total non-personal service budget items in the 2017 biennium.