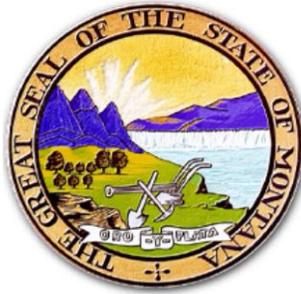


Deliverable to:

Montana Department of Public Health and
Human Services



Independent Verification and Validation (IV&V)
Services for the Montana Medicaid Management
Information System (MMIS)

Monthly Status Report

October 22, 2015

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1 - MMIS Project Quality

1.1 Project Summary

Current Phase:	Requirements Analysis/Concept/Delivery
Most Recent PK Accomplishments:	Reviewed and submitted comments to Xerox on the Communication Plan for POS Early Go Live (PI180), Cutover Plan for POS EGL Deliverable (PI188), Pharmacy POS Early Go-Live DSD (PH577), and System Documentation for Pharmacy POS (PI78) deliverables. Conducted analysis on completed and outstanding project CRs in Xerox SharePoint, and began incorporating changes from relevant CRs to the Document of Record. Completed September Contract Compliance Journal. Participated in and scribed solution presentation concept review sessions, weeks of 9/28/15 and 10/5/15.
Next Major Milestone:	M-I: Sprint 22 Finish – 10/20/15
Next Payment Milestone:	Benefit Plan – 11/16/15
Next Deliverable:	D: Provider Early Go-Live System Test Results Report (MT444) – 11/5/15
Biggest Project Challenges:	<ul style="list-style-type: none"> • Inaccurate system documentation • Deliverable quality issues and late interim deliverables • Progress on completion of Concept work is 24% and should be 91% • Large number of Xerox action items not addressed • Issues with POS EGL UAT Execution • Risk of delay to Provider Early Go-live, Health Enterprise Full Go-live, Benefit Plan Payment Milestone and POS Early Go-live
Status Overview	<p>Dispute Resolution – On 9/17/15, DPHHS notified Xerox that they were initiating the Dispute Resolution process. Per section 39 A. of the contract, "Before pursuing other remedies available under this Contract or at law, the parties shall attempt in good faith to promptly resolve any dispute, controversy or claim arising out of or relating to this Contract, through negotiations between senior management of the parties and their designees. If either party determines that the dispute cannot be resolved after initiating such negotiations, either party may terminate such negotiations and pursue the remedies available to it". All Non-Early-go-live POS Design, Development and Implementation project work has been paused, until the conclusion of the Dispute Resolution discussions.</p> <p>Sprint 22 – The project is currently conducting Sprint 22, of the 27 planned sprints from September 23, 2015 through October 20, 2015. Functional areas included in this sprint are: Claims Pricing, Provider, Service Auth, Claims Adjudication, Reference, Member, Claims Front End, Contact Management, Care Management and Waiver. At the end of Sprint 21, Design work should be completed for 95% of the Use Cases as Planned, but is currently only 22% complete, and Development work should be completed for 93% of the Story Points as Planned, but is currently only 49% complete.</p> <p>Xerox Corrective Action Plan (CAP) – DPHHS delivered a requirement for corrective action to Xerox on 11/26/14. The document delivered by the State outlines the deficiencies in the areas of documentation, design session preparation, design session execution, deliverable quality, project schedule management, project management metrics portal, and project staffing. A primary resolution recommended by Xerox in their corrective action plan is for DPHHS to move to the Xerox Health Enterprise Platform (HEP). DPHHS approved the Platform CR and the Corrective Action Plan on 2/10/15. Measurement of the Corrective Action Plan began on 3/12/15. Due to a Blueworks database corruption, Xerox was not able to deliver CAP Item A – Documentation on time. DPHHS issued a Notice of Material Breach to Xerox on CAP Item A on 3/18/15, as the documentation environment was not ready for review on 3/13/15, as required by the CAP. Xerox made the Blueworks audit space available to DPHHS for review on 3/24/15, and is currently working with IBM to resolve remaining issues in Blueworks. DPHHS delivered a Follow-up to the Notice of Material Breach of Medicaid Management Information System Contract - Corrective Action Plan - Category A to Xerox on 4/8/15, notifying Xerox that the material breach had not been cured by the DPHHS audit of the platform documentation. Xerox delivered a documentation remediation PowerPoint presentation to DPHHS on 4/8/15. DPHHS</p>

completed monitoring Xerox performance on CAP Items F – Project Management Metrics and G – Project Staffing on 4/7/15. DPHHS will continue monitoring Xerox performance on CAP Items F and G. DPHHS began monitoring the CAP+60 items on April 11, 2015 - CAP Items B, C, and E on. Xerox delivered the updated HEP Documentation and HEP Environment 90 on 4/17/15. Xerox delivered a response to the DPHHS Notice of Material Breach of Medicaid Management Information System Contract - Corrective Action Plan - Category A on 4/17/15. DPHHS began their second audit of Xerox platform documentation for evaluation of CAP Item A on 4/20/15. DPHHS delivered a response to the Xerox letter on 4/22/15. DPHHS delivered response comments to CAP Item E - Corrective Action Plans for Overdue Deliverables on 5/6/15. On May 13, 2015, DPHHS completed the review of CAP items B, C, and E and determined that Xerox had failed to successfully deliver each of these CAP Items. On May 15, 2015, DPHHS issued three separate additional Notices of Material Breach to Xerox for CAP Item B, CAP Item C, and CAP item E. DPHHS has begun evaluating the CAP + 90 measure for any deliverable submitted on or after May 12, 2015. DPHHS has completed evaluation of CAP Items B & C for S19, and is in the process of evaluating S20. DPHHS delivered a letter to Xerox on 8/7/15, notifying Xerox that they had satisfied the requirement for CAP Items B & C as of the end of S19, and that DPHHS has halted the assessment of liquidated damages as of the end of S19. DPHHS will continue to monitor Xerox performance under CAP Items B & C. Xerox notified DPHHS on 9/21/15 that the platform documentation was ready for review, for validation of CAP Item A. This will be the 3rd audit performed by DPHHS to determine whether the corrective action has achieved the desired results. Upon initial inspection of previously reviewed use cases, DPHHS identified a number of problems with the Business Rules, Error Message, System List and Valid Value Master Catalogs. DPHHS was not able to begin their validation effort due to these issues. Xerox corrected the issues identified by DPHHS, and validation of the documentation began on 9/25/15.

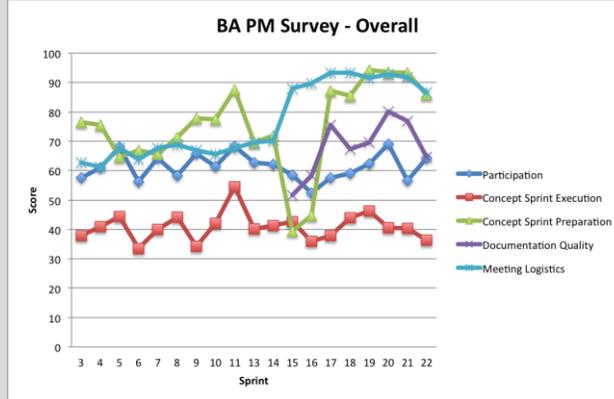
Deliverable Status – 7/18/14 – 10/22/15 (cover letter approvals have been excluded from percentages below):

- A minimum of 73% (59/81) of deliverables and interim deliverables scheduled for delivery in the next 90 days are projected by Xerox to be late.
- 6% (25/388) of the deliverables and interim deliverables due since 7/18/14 are in Approved/Review Completed status.
- 84% (324/388) of the deliverables and interim deliverables due since 7/18/14 are past due for delivery from Xerox.
- 1% (5/388) of the deliverables and interim deliverables delivered since 7/18/14 have been rejected by DPHHS and have not been re-delivered by Xerox (should be resolved within 60 days of scheduled acceptance, but 3 have not been).

Deliverable Type	Total Due	Xerox Past Due	Returned to Xerox for Revision	DPHHS Review	Approved/ Review Completed	Cover Letter Approvals	Rejected
Deliverable	61	22	10	0	19	8	2
Interim Deliverable	336	302	15	9*	6	1	3
Total	397	324	25	9	25	9	5

* 7 of the interim deliverables currently in DPHHS review are on hold until Xerox schedules in-session meetings with DPHHS for review. 2 are on hold due to the pause on non-POS DDI project work.

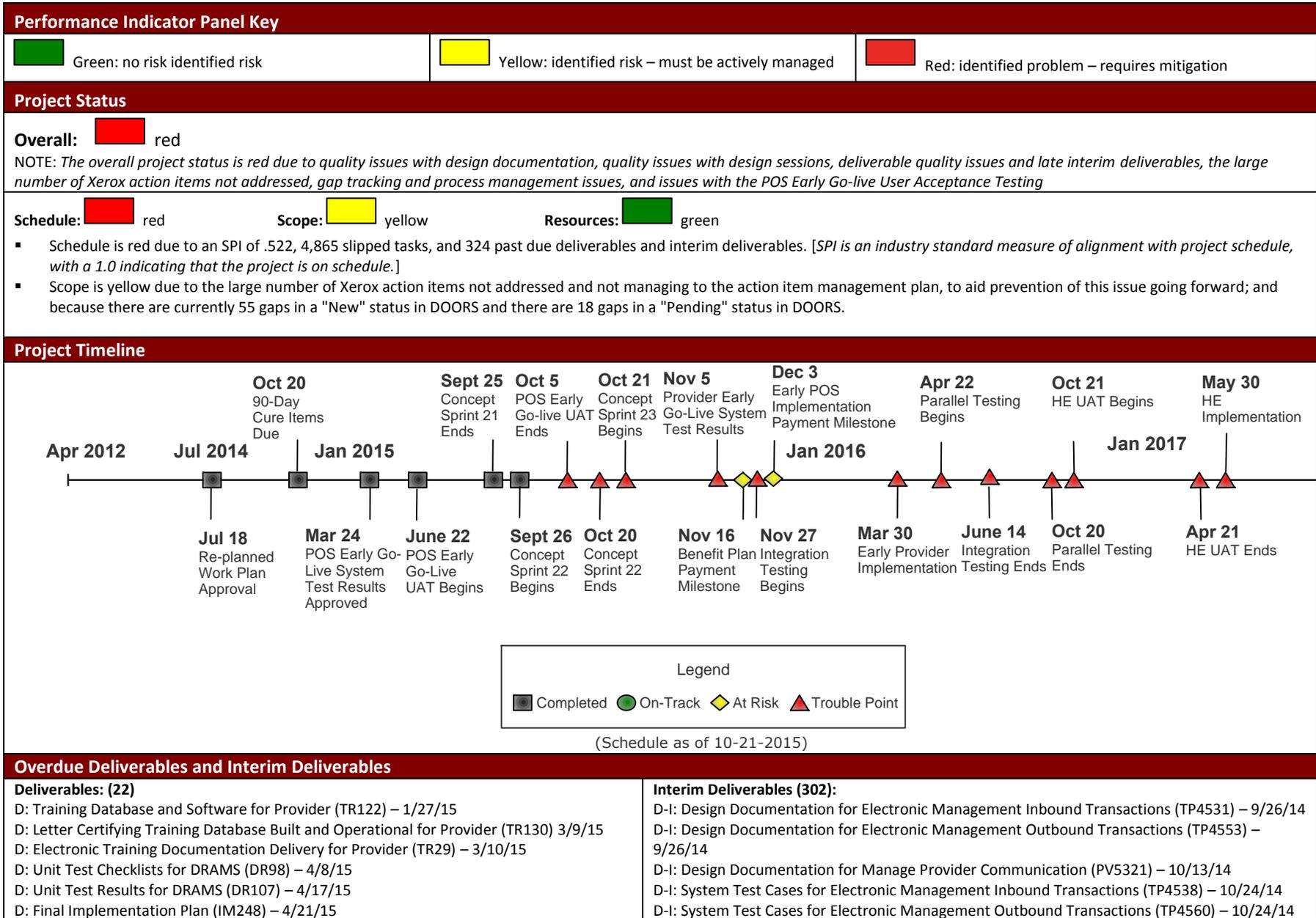
Daikibo Methodology – Design sessions under this new approach began on April 23, 2014. PK has developed a survey for DPHHS BA/PMs and DPHHS SMEs to monitor the progress and quality of the design sessions. Survey results are collected bi-weekly. Following are BA/PM survey results from the week ending 10/9/15. BA/PM survey data is based on 6 responses for the week ending 10/9/15, and a total of 12 responses for Sprint 22. There were no SME sessions held during the week ending 10/9/15, so there are no SME survey results to report this week.



POS UAT – UAT began on 7/1/15, eight days after the scheduled start date, due to entrance criteria not completed by Xerox. DPHHS and Xerox came to agreement on completion dates for incomplete entrance criteria items.

- The start date for execution of the POS Early Go-live UAT was scheduled for 6/22/15, but testing actually began on 7/1/15
- Xerox did not meet all exit criteria from predecessor testing phases or all entrance criteria for the POS EGL UAT
- A Xerox proposed a plan for completion of the incomplete criteria was accepted by DPHHS
- Due to the number of POS deliverables being submitted during the UAT testing period, the delay in completion of POS business rules sessions, issues with the testing and defect management tools, and issues with the test cases, the rate of test cases run is behind the planned rate
- DPHHS has added additional resources to execute test cases
- DPHHS halted the UAT Testing effort on 7/21/15 to allow Xerox to address deficiencies in test cases
- The UAT Testing effort was planned to resume on 8/3/15, but did not resume until 8/14/15
- There have been issues with the testing tool, RQM, as it is not configured correctly to support this testing effort
- DPHHS notified Xerox on 9/4/15, that they would agree to the DDI team and Pharmacy team committing 3 hours to testing each afternoon, if Xerox set up and staffed a testing center with experts to support the testers
- Xerox established the "war room" UAT testing center on 9/14/15, but it was agreed by DPHHS and Xerox that not all necessary access and support was in place at this time
- Testing in the "war room" UAT testing center began on 9/21/15, and designated DPHHS testers will test from 1:30 – 4:30 each day
- The current POS UAT EGL Testing Metrics are provided below

Total # of POS UAT Test Cases	327	100%
Test Cases in Xerox QA	7	2%
Test Cases that have passed QA and are available for DPHHS to test	320	98%
Test Cases that have passed QA and are available for DPHHS to test	320	100%
Not yet tested	41	13%
Passed	250	78%
Failed - Defect in FlexibleRx	14	4%
Incomplete - Test Case requires rework	11	3%
Obsoleted Test Cases	4	1%



<p>D: Training Report for Provider (TR47) – 4/24/15 D: System Test Results documented for DRAMS (DR265) – 6/3/15 D: Test Cases for HE E2E (MT33) – 6/11/15 D: Provider Documentation (MT10) – 6/16/15 D: Test Cases and Test Scripts for HE UAT (MT136) – 7/20/15 D: HE Go-Live System Test Results Report (MT450) – 8/11/15 D: Test Cases for HE Final Data Conversion (MI62082) – 8/13/15 D: System Test Cases and Scripts for DRAMS (DR438) – 8/21/15 D: Test Cases for Provider Early Go-Live UAT (MT208) – 9/11/15 D: POS Early Go-Live UAT Results (PI404) – 9/11/15 D: Consolidated RSD (RS340) - 9/15/15 D: System Test Results for HIE (HI2036) – 9/17/15 D: Unit Test Checklists for SLR (PI258) – 9/24/15 D: ORR Results for POS Early Go-Live (PI448) - 9/30/15 D: Provider Early Go-Live UAT Plan (MT54) - 10/15/15 D: Parallel Testing Test Plan (MT207) - 10/19/15</p>	<p>D-I: Design Documentation for User Access (WA1970) – 10/30/14 D-I: System Test Results for Global Functionality (CN2929) – 11/10/14 D-I: System Test Cases for Manage Provider Communication (PV5340) – 11/10/14 D-I: System Test Results for Global Address (CN2780) – 11/11/14 D-I: Design Documentation for Maintain CMS ICD-9-CM, ... Codes (F6416) – 11/11/14 D-I: System Test Results for Electronic Management Inbound Transactions (TP4546) – 11/11/14 D-I: System Test Results for Electronic Management Outbound Transactions (TP4568) – 11/11/14 D-I: System Test Cases for Provider Web Access Request (WA1877) - 11/12/14 D-I: Design Documentation for Inquire on Claim Status – External (CF1811) - 12/8/14 D-I: Draft DSDD for DRAMS (DR29) - 12/8/14 D-I: Design Documentation for Enroll Re-Enroll a Provider (PV5309) - 12/8/14 D-I: System Test Results for Manage Provider Communication (PV6416) - 12/8/14 D-I: Design Documentation for Maintain Codes Modifiers and Code Values – Search (RF6350) - 12/8/14 D-I: Design Documentation for Maintain Contact Management (CN3063) – 12/9/14 D-I: System Test Cases for Maintain CMS ICD-9-CM, ... Codes (RF6423) – 12/9/14 D-I: System Test Results for Provider Web Access Request (WA1885) – 12/12/14 D-I: System Test Cases for User Access (WA1977) – 12/17/14 D-I: Design Documentation for Inquire Provider Information (WA2069) – 12/24/14 D-I: Design Documentation for Interactive Chat (WA2168) – 12/24/14 D-I: System Test Cases for Inquire on Claim Status – External (CF1817) – 1/5/15 D-I: Design Documentation for Add-Update-Inquire Correspondence Record (CN6) – 1/5/15 D-I: System Test Cases for Enroll Re-Enroll a Provider (PV5328) – 1/5/15 D-I: System Test Cases for Maintain Codes Modifiers and Code Values – Search (RF6357) – 1/5/15 D-I: Design Documentation for Maintain Revenue Codes (RF6394) - 1/5/15 D-I: System Test Results for Maintain CMS ICD-9-CM, ... Codes (RF6431) - 1/5/15 D-I: System Test Cases for Maintain Contact Management (CN3070) – 1/6/15 D-I: Design Documentation for Perform Claim Entry - Registered External Users (CF6) – 1/7/15 D-I: System Test Results for User Access (WA1985) – 1/14/15 D-I: System Test Cases for Inquire Provider Information (WA2076) – 1/14/15 D-I: System Test Cases for Interactive Chat (WA2175) – 1/14/15 D-I: System Test Cases for Manage Users (WA2274) – 1/14/15 D-I: Design Documentation for Manage Users (WA2267) – 1/21/15 D-I: Design Documentation for Public Access (WA2366) – 1/21/15 D-I: Final DSDD for DRAMS (DR89) - 1/29/15 D-I: System Test Results for Inquire on Claim Status – External (CF1824) – 2/2/15 D-I: System Test Cases for Add-Update-Inquire Correspondence Record (CN98) – 2/2/15 D-I: System Test Cases for Enroll Abbreviated (PV5332) – 2/2/15 D-I: System Test Results for Enroll Re-Enroll a Provider (PV5346) – 2/2/15 D-I: System Test Results for Maintain Codes Modifiers and Code Values – Search (RF6365) – 2/2/15 D-I: System Test Cases for Maintain Revenue Codes (RF6401) – 2/2/15 D-I: System Test Results for Maintain Contact Management (CN3078) – 2/3/15 D-I: System Test Cases for Perform Claim Entry - Registered External Users (CF98) – 2/4/15 D-I: System Test Results for Inquire Provider Information (WA2084) – 2/11/15</p>
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	<p>D-I: System Test Results for Interactive Chat (WA2183) – 2/11/15 D-I: System Test Cases for Public Access (WA2373) – 2/11/15 D-I: Design Documentation for View-Update Message Center (WA2465) – 2/18/15 D-I: Design Documentation for Security Reporting (WA2564) – 2/18/15 D-I: Design Documentation for Internal Access (WA2663) – 2/19/15 D-I: Classroom Training Materials for Provider (PV5405) – 2/26/15 D-I: DSDD for SLR (PI44) – 2/26/15 D-I: System Test Results for Add-Update-Inquire Correspondence Record (CN108) – 3/2/15 D-I: Design Documentation for View-Update Message Center (CN3311) – 3/2/15 D-I: System Test Results for Enroll Abbreviated (PV5350) – 3/2/15 D-I: System Test Results for Maintain Revenue Codes (RF6409) – 3/2/15 D-I: Design Documentation for XTCM Interfaces (XT1774) – 3/3/15 D-I: Design Documentation for MMIS System Process (CN3187) – 3/9/15 D-I: System Test Results for Manage Users (WA2282) – 3/11/15 D-I: System Test Results for Public Access (WA2381) – 3/11/15 D-I: System Test Cases for View-Update Message Center (WA2472) – 3/11/15 D-I: System Test Cases for Security Reporting (WA2571) – 3/11/15 D-I: System Test Results for Perform Claim Entry - Registered External Users (CF108) – 3/12/15 D-I: CBT Training Materials for Provider (PV5406) – 3/12/15 D-I: Design Documentation for EDI x12 837P (ED6) – 3/16/15 D-I: Design Documentation for User Logout (WA2762) – 3/18/15 D-I: Design Documentation for Initiate Outgoing Information Match (TP4421) – 3/18/15 D-I: Design Documentation for Maintain Estate Information (TP4597) – 3/18/15 D-I Design Documentation for Member Auto-Assignment (CM38714) – 3/30/15 D-I: System Test Cases for View-Update Message Center (CN3318) – 3/30/15 D-I: Design Documentation for Create/Maintain Letter Template (CN3559) – 3/30/15 D-I: Design Documentation for Retrieve Document (CN3658) – 3/30/15 D-I: Design Documentation for Manage Member Information (Waiver) (ME4790) – 3/30/15 D-I: Design Documentation for Manage Member Buy-in Information (ME4886) – 3/30/15 D-I Design Documentation for Inquire on Member Eligibility (PV5320) – 3/30/15 D-I: Design Documentation for Global and Common Pages (PV5323) – 3/30/15 D-I: Design Documentation for Inquire Provider Information (PV5324) – 3/30/15 D-I: Design Documentation for Inquire on Trading Partner Info (PV7314) - 3/30/15 D-I: Design Documentation for Manage Trading Partner Info (PV7317) – 3/30/15 D-I: System Test Cases for MMIS System Process (CN3194) – 4/6/15 D-I: System Test Results for Maintain Estate Information (TP4612) – 4/6/15 D-I: System Test Results for EDI x12 837P (ED1013) – 4/7/15 D-I: System Test Results for View-Update Message Center (WA2480) – 4/8/15 D-I: System Test Results for Security Reporting (WA2579) – 4/8/15 D-I: System Test Cases for Internal Access (WA2670) – 4/8/15 D-I: System Test Cases for User Logout (WA2769) – 4/8/15 D-I: Classroom Training Materials for Contact Management (CN4363) – 4/8/15 D-I: Design Documentation for Batch Letter Request (WA3109) – 4/10/15 D-I: System Test Cases for EDI x12 837P (ED1413) – 4/13/15 D-I: Design Documentation for Static Content (WA3010) – 4/14/15</p>
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	<p>D-I: Design Documentation for Process Summary (WA2861) – 4/15/15 D-I: Design Documentation for EDI x12 837I (ED2380) – 4/15/15 D-I: System Test Cases for Initiate Outgoing Information Match (TP4428) – 4/15/15 D-I: System Test Cases for Maintain Estate Information (TP4604) – 4/15/15 D-I: Design Documentation for Global and Common Pages (WA3332) – 4/16/15 D-I: Design Documentation for Maintain MSQ Information (TP4575) – 4/16/15 D-I: CBT Training Materials for Contact Management (CN4364) – 4/23/15 D-I: System Test Cases for Member Auto-Assignment (CM3886) – 4/27/15 D-I: Design Documentation for Perform Claim Entry - Internal Users (CF2357) – 4/27/15 D-I: Design Documentation for Produce REOMBs (CP2867) – 4/27/15 D-I: System Test Results for View-Update Message Center (CN3326) – 4/27/15 D-I: System Test Cases for Create/Maintain Letter Template (CN3566) – 4/27/15 D-I: System Test Cases for Retrieve Document (CN3665) – 4/27/15 D-I: System Test Cases for Manage Member Information (Waiver) (ME4797) – 4/27/15 D-I: System Test Cases for Manage Member Buy-in Information (ME4893) – 4/27/15 D-I: Design Documentation for Process Automated Prov Interfaces (PV5316) – 4/27/15 D-I: Design Documentation for Disenroll Provider (PV5322) – 4/27/15 D-I: System Test Cases for Inquire on Member Eligibility (PV5339) – 4/27/15 D-I: System Test Cases for Global and Common Pages (PV5342) – 4/27/15 D-I: System Test Cases for Inquire Provider Information (PV5343) – 4/27/15 D-I: Design Documentation for Enroll MCO (PV6411) – 4/27/15 D-I: System Test Cases for Inquire on Trading Partner Info (PV7333) – 4/27/15 D-I: System Test Cases for Manage Trading Partner Info (PV7334) – 4/27/15 D-I: Design Documentation for Enroll Trading Partner (PV9311) – 4/27/15 D-I: System Test Results for Initiate Outgoing Information Match (TP4436) – 4/27/15 D-I: Design Documentation for Archive History Request/Retrieval (CP3165) – 4/28/15 D-I: System Test Cases for Batch Letter Request (WA3116) – 5/1/15 D-I: System Test Results for MMIS System Process (CN3202) – 5/4/15 D-I: System Test Cases for Static Content (WA3017) – 5/5/15 D-I: Design Documentation for Technical Architecture (WA3607) – 5/6/15 D-I: System Test Results for Internal Access (WA2678) – 5/6/15 D-I: System Test Results for User Logout (WA2777) – 5/6/15 D-I: System Test Cases for Process Summary (WA2868) – 5/6/15 D-I: System Test Results for EDI x12 837I (ED23143) – 5/6/15 D-I: System Test Cases for Global and Common Pages (WA3339) – 5/7/15 D-I: Design Documentation for Maintain Insurance Carrier (TP4443) – 5/7/15 D-I: System Test Cases for XTCM Interfaces (XT1781) – 5/7/15 D-I: Design Documentation for Global Locking Service (WA3706) – 5/8/15 D-I: Design Documentation for Log Data Update for Audit (WA3805) – 5/8/15 D-I: Design Documentation for Log Business Event (WA3904) – 5/8/15 D-I: Design Documentation for Global Exception (WA4003) – 5/8/15 D-I: Design Documentation for Message Center (WA4102) – 5/8/15 D-I: System Test Cases for EDI x12 837I (ED2386) – 5/13/15 D-I: System Test Cases for Maintain MSQ Information (TP4582) – 5/14/15 D-I: Design Documentation for EDI x12 837D (ED2705) – 5/15/15</p>
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	<p>D-I: System Test Results for XTCM Interfaces (XT1789) – 5/21/15 D-I: Design Documentation for Enroll Abbreviated (PV5313) – 5/22/15 D-I: System Test Results for Member Auto-Assignment (CM38143) – 5/25/15 D-I: Design Documentation for Member Passport Eligibility Determination (CM3877) – 5/25/15 D-I: System Test Cases for Perform Claim Entry - Internal Users (CF2363) – 5/25/15 D-I: Design Documentation for Add-Update-Inquire Case Record (CN1942) – 5/25/15 D-I: System Test Results for Create/Maintain Letter Template (CN3574) – 5/25/15 D-I: System Test Results for Retrieve Document (CN3673) – 5/25/15 D-I: Design Documentation for Inquire Member Eligibility (External) (ME4934) – 5/25/15 D-I: Design Documentation for Manage Provider Information (PV5312) – 5/25/15 D-I: System Test Cases for Process Automated Prov Interfaces (PV5335) – 5/25/15 D-I: Design Documentation for Provider (PV5364) – 5/25/15 D-I: System Test Cases for Disenroll Provider (PV5341) – 5/25/15 D-I: System Test Results for Inquire on Member Eligibility (PV5357) – 5/25/15 D-I: System Test Cases for Enroll MCO (PV64210) – 5/25/15 D-I: System Test Results for Inquire on Trading Partner Info (PV7371) – 5/25/15 D-I: System Test Results for Manage Trading Partner Info (PV7372) – 5/25/15 D-I: System Test Cases for Enroll Trading Partner (PV9330) – 5/25/15 D-I: Design Documentation for Maintain CMS Medicare Rates, Out-of-State Rates, State of MT Rates – Search (RF6218) – 5/25/15 D-I: System Test Cases for Archive History Request/Retrieval (CP2846) – 5/26/15 D-I: System Test Results for Manage Member Information (Waiver) (ME4805) – 5/26/15 D-I: System Test Results for Manage Member Buy-in Information (ME4901) – 5/26/15 D-I: System Test Cases for Technical Architecture (WA3614) – 5/27/15 D-I: System Test Results for Global and Common Pages (PV5360) – 5/27/15 D-I: System Test Results for Inquire Provider Information (PV5361) – 5/27/15 D-I: System Test Results for Maintain MSQ Information (TP4590) - 5/27/15 D-I: System Test Results for Static Content (WA3025) - 5/29/15 D-I: System Test Results for Batch Letter Request (WA3124) - 5/29/15 D-I: System Test Results for Global and Common Pages (WA3347) - 5/29/15 D-I: System Test Cases for Global Locking Service (WA3713) - 5/29/15 D-I: System Test Cases for Log Data Update for Audit (WA3812) - 5/29/15 D-I: System Test Cases for Log Business Event (WA3911) - 5/29/15 D-I: System Test Cases for Global Exception (WA4010) - 5/29/15 D-I: System Test Cases for Message Center (WA4109) - 5/29/15 D-I: System Test Results for Process Summary (WA2876) - 6/3/15 D-I: Design Documentation for Receive Incoming TPL Information (TP4487) – 6/3/15 D-I: System Test Results for EDI x12 837D (ED2718) – 6/4/15 D-I: System Test Cases for Maintain Insurance Carrier (TP4450) – 6/4/15 D-I: Design Documentation for EDI x12 835 Outbound (ED3030) – 6/8/15 D-I: System Test Cases for EDI x12 837D (ED2716) – 6/10/15 D-I: Test Cases for Provider Early Go-Live E2E (MT174) – 6/16/15 D-I: System Test Results for Perform Claim Entry - Internal Users (CF2370) – 6/22/15 D-I: System Test Results for Archive History Request/Retrieval (CP2859) – 6/22/15 D-I: System Test Cases for Produce REOMBs (CP2874) – 6/22/15</p>
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	<p>D-I: Design Documentation for Price Covered Claim (CC2778) – 6/22/15 D-I: System Test Cases for Add-Update-Inquire Case Record (CN1949) – 6/22/15 D-I: Design Documentation for Contact Management (CN1665) – 6/22/15 D-I: Design Documentation for Generate Reports (CN3435) – 6/22/15 D-I: System Test Cases for Inquire Member Eligibility (External) (ME4941) – 6/22/15 D-I: System Test Cases for Manage Provider Information (PV5331) – 6/22/15 D-I: System Test Results for Process Automated Prov Interfaces (PV5353) – 6/22/15 D-I: System Test Cases for Provider (PV5365) – 6/22/15 D-I: System Test Results for Disenroll Provider (PV5359) – 6/22/15 D-I: System Test Results for Enroll MCO (PV6458) – 6/22/15 D-I: System Test Results for Enroll Trading Partner (PV93812) – 6/22/15 D-I: System Test Cases for Maintain CMS Medicare Rates, Out-of-State Rates, State of MT Rates – Search (RF6225) – 6/22/15 D-I: System Test Results for Maintain Insurance Carrier (TP4458) – 6/22/15 D-I: Classroom Training Materials for Architecture & WebPortal (WA4215) – 6/23/15 D-I: System Test Cases for Benefit Plan Administration (BP98) – 6/23/15 D-I: Design Documentation for Inquire on Claims – Internal (CF2903) – 6/24/15 D-I: System Test Results for Technical Architecture (WA3622) – 6/25/15 D-I: System Test Results for EDI x12 835 Outbound (ED3043) – 6/25/15 D-I: System Test Results for Global Locking Service (WA3721) – 6/26/15 D-I: System Test Results for Log Data Update for Audit (WA3820) – 6/26/15 D-I: System Test Results for Log Business Event (WA3919) – 6/26/15 D-I: System Test Results for Global Exception (WA4018) – 6/26/15 D-I: System Test Results for Message Center (WA4117) – 6/26/15 D-I: System Test Cases for Member Passport Eligibility Determination (CM3884) - 6/29/15 D-I: Classroom Training Materials for Member (ME5440) - 6/29/15 D-I: System Test Results for Produce REOMBs (CP2882) - 7/1/15 D-I: System Test Cases for Receive Incoming TPL Information (TP4494) – 7/1/15 D-I: Design Documentation for Bill Carriers for Pay and Chase Claims (TP4509) – 7/1/15 D-I: Design Documentation for EDI TPMS (ED3355) – 7/2/15 D-I: System Test Cases for EDI x12 835 Outbound (ED3036) – 7/6/15 D-I: CBT Training Materials for Architecture & WebPortal (WA4216) – 7/7/15 D-I: CBT Training Materials for Member (ME5441) – 7/13/15 D-I: System Test Results for Benefit Plan Administration (BP108) – 7/20/15 D-I: Design Documentation for Maintain Benefit Plan Hierarchy (BP1992) – 7/20/15 D-I: System Test Results for Member Outreach (CM3895) – 7/20/15 D-I: Classroom Training Materials for Care Management (CM3940) – 7/20/15 D-I: Classroom Training Materials for Claims Front End (CF2958) – 7/20/15 D-I: Design Documentation for Claims Front End (CF1665) – 7/20/15 D-I: System Test Cases for Price Covered Claim (CC2784) – 7/20/15 D-I: System Test Results for Add-Update-Inquire Case Record (CN1957) – 7/20/15 D-I: System Test Cases for Contact Management (CN1671) – 7/20/15 D-I: System Test Cases for Generate Reports (CN3442) – 7/20/15 D-I: Classroom Training Materials for Managed Care (MA4495) – 7/20/15 D-I: Design Documentation for MCO / Provider Waiting List, Encounters, and Recon (MA3892) –</p>
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	<p>7/20/15 D-I: System Test Results for Inquire Member Eligibility (External) (ME4949) – 7/20/15 D-I: System Test Results for Manage Provider Information (PV5349) – 7/20/15 D-I: System Test Results for Provider (PV5366) – 7/20/15 D-I: Design Documentation for Maintain Internal Code Sets (RF6482) – 7/20/15 D-I: System Test Results for Maintain CMS Medicare Rates, Out-of-State Rates, State of MT Rates – Search (RF6233) – 7/20/15 D-I: System Test Results for Receive Incoming TPL Information (TP4502) – 7/20/15 D-I: System Test Cases for Inquire on Claims – Internal (CF2909) – 7/22/15 D-I: System Test Results for EDI TPMS (ED3368) – 7/23/15 D-I: Design Documentation for Benefit Plan (BP1665) – 7/27/15 D-I: Design Documentation for EDI New Transactions (ED4005) – 7/29/15 D-I: System Test Cases for Bill Carriers for Pay and Chase Claims (TP4516) – 7/29/15 D-I: System Test Cases for EDI TPMS (ED3361) – 7/30/15 D-I: System Test Cases for Member Lock In (CM3887) – 8/3/15 D-I: CBT Training Materials for Care Management (CM3941) – 8/3/15 D-I: CBT Training Materials for Claims Front End (CF2959) – 8/3/15 D-I: CBT Training Materials for Managed Care (MA4496) – 8/3/15 D-I: System Test Results for Member Passport Eligibility Determination (CM38141) – 8/7/15 D-I: System Test Cases for Benefit Plan (BP1671) - 8/17/15 D-I: System Test Cases for Maintain Benefit Plan Hierarchy (BP1999) - 8/17/15 D-I: Classroom Training Materials for Benefit Plan (BP2049) – 8/17/15 D-I: System Test Cases for Claims Front End (CF1671) – 8/17/15 D-I: System Test Results for Price Covered Claim (CC2791) – 8/17/15 D-I: System Test Results for Contact Management (CN1678) – 8/17/15 D-I: System Test Results for Generate Reports (CN3450) – 8/17/15 D-I: System Test Cases for MCO / Provider Waiting List, Encounters, and Recon (MA3899) – 8/17/15 D-I: Design Documentation for Provider Re-Validation (PV6533) – 8/17/15 D-I: System Test Cases for Maintain Internal Code Sets (RF6489) – 8/17/15 D-I: Design Documentation for Maintain CMS Medicare Rates, Out-of-State Rates, State of MT Rates (RF6196) – 8/17/15 D-I: System Test Results for Bill Carriers for Pay and Chase Claims (TP4524) – 8/17/15 D-I: Design Documentation for Maintain Fund Codes (FI146) – 8/18/15 D-I: Design Documentation for Maintain Budget Appropriations (FI302) – 8/18/15 D-I: System Test Results for EDI New Transactions (ED4018) – 8/19/15 D-I: Classroom Training Materials for Service Auth (SA3931) – 8/25/15 D-I: System Test Cases for EDI New Transactions (ED4011) – 8/26/15 D-I: Design Documentation for EDI x12 270/271 (ED3680) - 8/27/15 D-I: CBT Training Materials for Benefit Plan (BP2050) - 8/31/15 D-I: System Test Results for Inquire on Claims – Internal (CF2919) – 9/8/15 D-I: CBT Training Materials for Service Auth (SA3932) – 9/8/15 D-I: System Test Results for Maintain Benefit Plan Hierarchy (BP2007) - 9/14/15 D-I: System Test Results for Member Lock In (CM3894) - 9/14/15 D-I: System Test Results for MCO / Provider Waiting List, Encounters, and Recon (MA3907) -</p>
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	<p>9/14/15</p> <p>D-I: Design Documentation for Member EPSDT Outreach and Tracking (ME4910) - 9/14/15</p> <p>D-I: System Test Cases for Provider Re-Validation (PV6540) - 9/14/15</p> <p>D-I: System Test Results for Maintain Internal Code Sets (RF6497) - 9/14/15</p> <p>D-I: System Test Cases for Maintain Fund Codes (FI153) - 9/15/15</p> <p>D-I: System Test Cases for Maintain Budget Appropriations (FI309) - 9/15/15</p> <p>D-I: System Test Cases for Maintain CMS Medicare Rates, Out-of-State Rates, State of MT Rates (RF6203) - 9/15/15</p> <p>D-I: Design Documentation for Maintain Codes Modifiers and Code Values - Add/Update (RF6372) - 9/15/15</p> <p>D-I: Design Documentation for Maintain External Code Sets (RF6438) - 9/15/15</p> <p>D-I: System Test Results for Claims Front End (CF511) – 9/18/2015</p> <p>D-I: System Test Results for EDI x12 270/271 (ED3693) – 9/18/2015</p> <p>D-I: System Test Cases for EDI x12 270/271 (ED3686) – 9/24/15</p> <p>D-I: Design Documentation for Inquire Service Authorization (External) (SA3235) – 9/24/15</p> <p>D-I: Design Documentation for Maintain Member Insurance (TP4465) - 9/25/15</p> <p>D-I: Design Documentation for EDI x12 276/277 (ED4330) – 9/28/15</p> <p>D-I: Design Documentation for Maintain Financial Entity Information (FI431) – 9/28/15</p> <p>D-I: Design Documentation for Financial Payout (FI547) – 9/28/15</p> <p>D-I: Design Documentation for Waivers (CM3882) - 10/12/15</p> <p>D-I: Design Documentation for Produce Remittance Advice (CP2915) - 10/12/15</p> <p>D-I: Design Documentation for Manage Member Interfaces (ME4814) - 10/12/15</p> <p>D-I: System Test Cases for Member EPSDT Outreach and Tracking (ME4917) - 10/12/15</p> <p>D-I: Design Documentation for Inquire Member Eligibility (ME4958) - 10/12/15</p> <p>D-I: Design Documentation for Manage Member Information (ME9982) - 10/12/15</p> <p>D-I: System Test Results for Provider Re-Validation (PV6548) - 10/12/15</p> <p>D-I: System Test Results for Maintain CMS Medicare Rates, Out-of-State Rates, State of MT Rates (RF6211) - 10/12/15</p> <p>D-I: System Test Cases for Contact Management Conversion (CO1000) -10/13/15</p> <p>D-I: System Test Results for Contact Management Conversion (CO1007) - 10/13/15</p> <p>D-I: Mapping Specifications and Reconciliation/Balancing Scripts for Contact Management Conversion (CO994) - 10/13/15</p> <p>D-I: System Test Results for Maintain Fund Codes (FI161) - 10/13/15</p> <p>D-I: System Test Results for Maintain Budget Appropriations (FI317) - 10/13/15</p> <p>D-I: Classroom Training Materials for Reference (RE6166) - 10/13/15</p> <p>D-I: System Test Cases for Maintain Codes Modifiers and Code Values - Add/Update (RF6379) - 10/13/15</p> <p>D-I: System Test Cases for Maintain External Code Sets (RF6445) - 10/13/15</p> <p>D-I: Classroom Training Materials for TPL (TP4388) - 10/13/15</p> <p>D-I: System Test Results for EDI x12 276/277 (ED4343) – 10/16/15</p> <p>D-I: System Test Results for Benefit Plan (BP1678) – 10/21/15</p>
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Past Due Milestones	
<p>Payment Milestones: None</p>	<p>Interim Milestones: Current Count: 188</p>
Rejected Deliverables and Interim Deliverables	
<p>Deliverables: D: Test Cases for Provider Early Go-Live Performance (MT537) D: System Documentation for Pharmacy POS (PI78)</p>	<p>Interim Deliverables: D-I: System Test Cases for Global Functionality (CN2921) D-I: System Test Cases for Global Address (CN2772) – Resubmitted on 1/8/15, Rejected on 1/27/15 D-I: System Test Cases for HIE (H02059)</p>

1.2 Issues for Management Attention

The following table presents the most critical issues on the project. Refer to the project issue log in the DPHHS SharePoint for more detailed information about project issues.

Issue	What's Been Done	What's Still Needed
<p><i>1) Corrective Action Requirement was delivered to Xerox on 11/26/14. The document outlines the following deficiencies for which a plan to correct must be proposed by Xerox no later than 12/12/14</i></p> <ul style="list-style-type: none"> - Project schedule management - Project metrics portal - Design session preparation and execution - Project staffing - Design documentation - Deliverable quality 	<ul style="list-style-type: none"> - Xerox delivered their Corrective Action Plan (CAP) on 12/12/14 - DPHHS provided review comments to Xerox on 12/19/14 - Xerox re-delivered the Corrective Action Plan on 12/29/14 - DPHHS provided review comments to Xerox on 1/8/15 - Xerox delivered the platform change request to DPHHS on 12/17/14 - DPHHS delivered review comments on the platform change request on 12/23/14 - Xerox delivered and updated version of the Platform change request on 1/9/15 - DPHHS delivered review comments on the platform change request on 1/16/15 and 1/18/15 - Xerox has delivered several draft 	<ul style="list-style-type: none"> - Xerox to cure the Notices of Material Breach for CAP Items A & E - DPHHS to continue monitoring CAP Item D – Deliverable Quality - Xerox to respond to the comments that DPHHS delivered on the Overdue Deliverables Spreadsheet, in support of CAP Item E, on 5/6/15 - DPHHS to evaluate CAP Items B & C for Sprint 21

Issue	What's Been Done	What's Still Needed
	<p>versions of the CAP to DPHHS during the week of 1/19/15 and DPHHS has provided feedback on each of them</p> <ul style="list-style-type: none"> - Xerox delivered and updated version of the Platform change request on 1/20/15 - DPHHS delivered comments to Xerox on the Health Enterprise Platform change request on 1/21/15 - Xerox re-delivered their Corrective Action Plan (CAP) on 1/22/15 - DPHHS delivered a version of the Platform CR to Xerox that they are willing to approve on 2/4/15 - This version of the CR was discussed during the MMIS DDI Governance meeting on 2/4/15 - The Platform CR and the Xerox Corrective Action Plan were approved by DPHHS on 2/10/15 - DPHHS and PK created a checklist for documentation verification, and a separate checklist for all remaining CAP criteria - Xerox notified DPHHS on Wednesday, 3/11/15 that the S15 documentation would be ready for review on Friday, 3/13/15 - Xerox notified DPHHS on 	

Issue	What's Been Done	What's Still Needed
	<p>Wednesday, 3/11/15 that they needed to do a database refresh of the platform documentation over the weekend, and that MT Audit documentation would be ready for review on Monday, 3/16/15</p> <ul style="list-style-type: none"> - Xerox notified DPHHS on Sunday, 3/15/15 that they had experienced a database corruption over the weekend and the MT Audit documentation was not ready for review - Xerox is currently working with IBM to resolve the corruption issue - Xerox delivered the updated HEP Documentation and HEP Environment 90 on 4/17/15 - Xerox delivered a response to the DPHHS Notice of Material Breach of Medicaid Management Information System Contract - Corrective Action Plan - Category A on 4/17/15 - DPHHS began their second audit of Xerox platform documentation for CAP Item A – Documentation on 4/20/15 - DPHHS delivered a response to the Xerox letter on 4/22/15 - Xerox delivered CAP Item E - Corrective Action Plans for Overdue Deliverables 	

Issue	What's Been Done	What's Still Needed
	<ul style="list-style-type: none"> - DPHHS delivered response comments to CAP Item E - Corrective Action Plans for Overdue Deliverables on 5/6/15 - DPHHS completed the review of CAP items B, C, and E and determined that Xerox had failed to successfully deliver each of these CAP Items on 5/13/15 - DPHHS issued three separate additional Notices of Material Breach to Xerox for CAP Items B, C, and E on 5/15/15 - Meetings were conducted with Xerox on 6/9/15 and 6/10/15 to discuss outstanding items on CAP Item E - DPHHS delivered a letter to Xerox on 8/7/15, notifying Xerox that they had satisfied the requirement for CAP Items B & C as of the end of S19 - DPHHS has halted the assessment of liquidated damages as of the end of S19 - Xerox is conducting weekly CAP A working sessions with DPHHS - DPHHS & PK completed evaluations of CAP Items B & C for S19 and S20 	
<hr/> <p><i>2) Xerox has missed the Start date for POS Early Go-live User Acceptance Testing and continues</i></p> <hr/>		

Issue	What's Been Done	What's Still Needed
<i>to experience issues with test case quality</i>		
<ul style="list-style-type: none"> - The start date for execution of the POS Early Go-live UAT was scheduled for 6/22/15 - Xerox did not meet all exit criteria from predecessor testing phases - Xerox did not meet all entrance criteria for the POS UAT - Xerox delivered, and DPHHS approved, documented exception requests for entrance criteria not yet met - POS Early Go-live UAT began on 7/1/15 - Due to the number of POS deliverables submitted during the UAT testing period, the delay in completion of POS business rules sessions, issues with the testing and defect management tools, and issues with the test cases, the rate of test cases run was behind the planned rate - DPHHS halted the UAT Testing effort on 7/21/15 to allow Xerox time to address deficiencies in test cases - The UAT Testing effort was planned to resume on 8/3/15, however it did not resume until 8/14/15 - There continue to be issues with test cases delivered to DPHHS as "ready to test" - There continue to be errors encountered when executing test cases delivered as "ready to test" - The reports, and other ancillary functions, 	<ul style="list-style-type: none"> - Xerox has provided a schedule for POS EGL User Acceptance Testing - DPHHS has added additional resources to execute test cases - DPHHS notified Xerox on 9/4/15, that they would agree to the DDI team and Pharmacy team committing 3 hours to testing each afternoon, if Xerox set up and staff a testing center with experts to support the testers - Xerox established the "war room" UAT testing center on 9/14/15, but it was agreed by DPHHS and Xerox that not all necessary access and support was in place at this time - Testing in the "war room" UAT testing center began on 9/21/15, and designated DPHHS testers will test from 1:30 – 4:30 each day 	<ul style="list-style-type: none"> - Xerox to deliver all remaining test cases to DPHHS for completion of UAT testing - Xerox to resolve defects and test case deficiencies and deliver to DPHHS for re-test

Issue	What's Been Done	What's Still Needed
<p>test cases were run first, so a majority of the remaining test cases are claims</p>		
<p><i>3) Blueworks (BWL) usability concerns</i></p> <ul style="list-style-type: none"> - Xerox has implemented numerous workarounds to address deficiencies within BWL - BWL does not allow for one-to-many or many-to-one relationships between Use Cases and User Interface Specifications, which do currently exist - There are continued performance issues with BWL 	<ul style="list-style-type: none"> - Xerox has documented workarounds to address issues with Report, Letter, and Interface Specifications - Xerox has documented a workaround to address issues with flow-diagrams housed within BWL 	<ul style="list-style-type: none"> - Xerox to correct BWL deficiencies - Xerox to propose a process for the one to many and many to one UC/UIS issue
<p><i>4) Xerox does not have a complete, functioning platform demonstration environment</i></p> <ul style="list-style-type: none"> - There is no environment available where the system can be fully demonstrated to determine whether it meets RFP requirements, or if a gap exists - There is no environment available where the validity of the documentation in Blueworks can be verified - Over 30 of the use cases sampled for the CAP Item A – Documentation audit review part 2, were assigned an "Unable to Validate" status, as the functionality is not available in the platform environment - Batch is not running in the platform environment - Letters, reports, interfaces, document management, address validation, workflow, and EDI are not functioning in the platform 	<ul style="list-style-type: none"> - In the Cap A Platform Documentation Remediation Plan meeting on 7/14/15, Xerox announced that they did not plan to create a fully functioning platform environment, and would instead create a fully functioning environment for the MT product - Xerox planned to have the fully functioning environment for the MT product complete by 8/31/15, but now plans to have this environment complete by the end of October 2015 	<ul style="list-style-type: none"> - Xerox to implement a fully functioning platform environment on or before October 2015 - Xerox to provide demos for CAP A auditors, if needed functionality for a UC is not present in the MT environment

Issue	What's Been Done	What's Still Needed
<p>environment</p> <ul style="list-style-type: none"> - Xerox originally stated that the fully functioning platform environment will be complete by July 31, 2015 		
<p><i>5) Xerox is deferring unfinished sprint functionality to later sprints</i></p>		
<ul style="list-style-type: none"> - Delays in the planning for and completion of functionality in sprints, is causing functionality to be deferred to later sprints - Sprint retrospectives indicate that gaps are being deferred to later sprints than originally planned - Xerox has not previously used the Agile methodology to implement an MMIS - Xerox is moving many gaps and use cases to future sprints, as the work planned for current sprints is not being completed <i>(Concept is currently 24% complete (should be 91%) and Delivery is currently 46% complete (should be 90%), for the known gaps)</i> 	<ul style="list-style-type: none"> - An Issue has been entered in the Xerox SharePoint Issues List 	<ul style="list-style-type: none"> - Monitor and discuss progress with Xerox often and adjust approach and processes as necessary - Xerox to conduct Sprint Retrospectives with DPHHS and Xerox participation
<p><i>6) Large number of Xerox Action Items not addressed</i></p>		
<ul style="list-style-type: none"> - Xerox currently has 350 open action items, and 208 of these action items are overdue - 153 of the Xerox open action items have been open for more than 12 weeks - Xerox currently has 100 completed (not closed) action items - Once open action items have been answered by Xerox, the responses may generate gaps - All action items in a completed status will 	<ul style="list-style-type: none"> - Action item metrics are presented to Xerox on a weekly basis - Xerox delivered an Action Item Corrective Action and Mitigation Plan on 8/8/14 - DPHHS delivered comments on this plan to Xerox on 8/12/14 - Xerox resubmitted the Action Item Corrective Action and Mitigation 	<ul style="list-style-type: none"> - Xerox to achieve the actions outlined in their corrective action plan - Xerox to manage the action item process, based on their Action Item Management Plan

Issue	What's Been Done	What's Still Needed
<p>need to be reviewed with DPHHS staff to determine if the response fully addresses the DPHHS inquiry, before they can be closed</p> <ul style="list-style-type: none"> - Numerous action items have been generated due to HE experts not being present in design sessions, conversion walkthroughs, etc. - Xerox in the Xerox Corrective Action and Mitigation Plan, Xerox references an Action Item Management Plan, which is incomplete - Some Xerox functional teams are completing action items, when the action has not yet been completed. See examples below: <ul style="list-style-type: none"> o AI5448 – states “The current logic will be updated during sprint sessions.” The logic needs to be updated before the sprint session, which is Sprint 8. This should be updated in exhibit 4.1 and the resolution should be documented in this action item before it is completed o AI5563 – should create the business rule and exception code, and these should be documented in this action item o AI6043 – should make all the changes to exhibit 4.4 so that when exhibit 4.4 is added to exhibit 4.1, we know that everything has been captured. Combining exhibits 4.1 & 4.4 does not make this action item obsolete o AI5560 – The discussion in the action item does not indicate whether the documentation been updated. It is not 	<p>Plan to DPHHS on 8/29/14</p> <ul style="list-style-type: none"> - DPHHS delivered comments on this plan to Xerox on 9/11/14 - Xerox delivered the Action Item Management Plan on 12/9/14 - DPHHS submitted comments on the Action Item Management Plan on 12/23/14 - Xerox re-delivered the Action Item Management Plan on 1/21/15 - DPHHS notified Xerox on 2/9/15 that all outstanding comments on the Action Item Management Plan had been resolved - DPHHS/PK is currently conducting analysis of all Completed action items 	

Issue	What's Been Done	What's Still Needed
<p>clear whether the Svc Location CLIA # field is needed</p> <ul style="list-style-type: none"> ○ AI 7865 – the AI asked to document a project process. The AI was completed without an explanation ○ AI 7780 – AI request to transfer a gap. The AI was completed without the action being done ○ AI 8204 – AI requested information about the legacy system. The AI was completed without the questions being answered <ul style="list-style-type: none"> - No response has been received from Xerox to the AIs completed in error email described above, sent on 9/18/14 - Xerox delivered 180 Action Items with proposed due date changes on 9/23/14 - DPHHS reviewed proposed action item due date changes and sent responses on 9/29/14 and 10/16/14 - Xerox delivered 93 Action Items with proposed due date changes on 10/23/14 - Xerox delivered 341 Action Items with proposed due date changes on 11/18/14 - DPHHS reviewed the 93 proposed action item due date changes and sent responses on 11/26/14 - Xerox sent an email requesting that DPHHS ignore their previous request to change due dates for 341 Action Items on 11/26/14 - Xerox closed 87 of the DPHHS completed action items in one day, many within 2 		

Issue	What's Been Done	What's Still Needed
<p>minutes of each other, and it appears that the appropriate Xerox actions were not taken on all closed AIs</p> <ul style="list-style-type: none"> - The quantity of Xerox Open and >12 Weeks Overdue Action Items have decreased in the past two weeks, but this is in large part due to Xerox moving due dates, based on planned discussion in future sprints 		
<p><i>7) The Claims Adjudication functional area is falling behind on planned work for their sprints</i></p> <ul style="list-style-type: none"> - The documentation pre-dates the NH system and is not being updated prior to presentation in sessions - The DPHHS Claims Adjudication lead has spent time updating the documentation 	<ul style="list-style-type: none"> - A meeting was held on 8/8/14 to discuss mitigation for this problem - Xerox has committed to implement the following proposed solutions: <ul style="list-style-type: none"> o Offshore ownership to prepare documentation pre-session o Ensure Claims BAs are trained to review code and reverse engineer to prepare documentation in advance of sessions o FAS involvement o Extraction of legacy BRs o Addition of BAs 	<ul style="list-style-type: none"> - Xerox to implement their proposed solutions to improve Claims velocity
<p><i>8) There continue to be gap tracking and process management concerns</i></p> <ul style="list-style-type: none"> - BAs and PMs are unable to locate many Gaps in the Consolidated RSD and Consolidated RTM - There are 311 gaps in DOORS that have not been mapped to a UI specification - There are 25 gaps in DOORS in a "New" status 	<ul style="list-style-type: none"> - An Issue has been entered in the Xerox SharePoint Issues List - Xerox delivered updated information on 14 outstanding Remaining Requirements on 7/23/14 	<ul style="list-style-type: none"> - 148 requirements remain for initial discussion in sessions for potential gap identification - Xerox to complete review and validation of all unresolved requirements identified in the

Issue	What's Been Done	What's Still Needed
<ul style="list-style-type: none"> - There are 30 gaps in DOORS in a "Pending" status - In December 2014, the Reference team discovered that several of their gaps were deleted from DOORS instead of being marked obsolete. This is the second time this has occurred - In early September 2015, DPHHS identified a number of inconsistencies between Jira, DOORS, and the Functional Area Checklist for the Benefit Plan Functional Area 	<ul style="list-style-type: none"> - DPHHS/PK responded on 8/6/14, noting that only 5 of the 14 requirements were actually resolved - Xerox re-delivered their remaining requirements update on 8/15/14 - Xerox restored the Reference gaps that were deleted from DOORS - PK completed review of the Xerox remaining requirements comments 	<ul style="list-style-type: none"> - Remaining Requirements report - PK requested that these requirements be prioritized on design session agendas, as discussion/demonstration of these requirements may generate gaps - Xerox to deliver responses to the Benefit Plan inconsistencies between Jira, DOORS, and the Functional Area Checklist identified by DPHHS - Xerox to propose automated Quality Assurance reporting to identify inconsistencies between tools, going forward
<p><i>9) Limited DPHHS/PK access to Xerox tools</i></p>		
<ul style="list-style-type: none"> - Access to these tools is needed for DPHHS/PK to have visibility to development, testing progress and defect identification and resolution - Access to RQM was provided for some users on 4/25/13, and RQM training was provided on 5/29/13 - Adequate content for backlog management across the entire system does not appear to exist - Access to the comprehensive HE backlog is not currently available - Needed metrics for reporting on JIRA task progress have not yet been configured - DPHHS is experiencing access issues to many 	<ul style="list-style-type: none"> - RQM training was provided on 5/29/13 - Access to JIRA was provided to six DPHHS/PK staff on 1/31/14 - A request for JIRA access for four additional DPHHS/PK staff was submitted by DPHHS on 2/3/14 - JIRA training for DPHHS/PK staff was conducted on 2/20/14 - State temp IDs have been set up and appropriate access has been granted - Tim, Sibyl and Rhonda have access to JIRA and appropriate access has been granted 	<ul style="list-style-type: none"> - Xerox to add Sonar to the VDI - Xerox to manually generate Unit Test and deliver to DPHHS until Sonar access has been granted

Issue	What's Been Done	What's Still Needed
<p>of the Xerox tools</p> <ul style="list-style-type: none"> - Xerox believes the current Cisco VPN client is incompatible with Windows 8 - DPHHS is also experiencing non-Windows 8 access issues to some of the Xerox tools 	<ul style="list-style-type: none"> - An additional JIRA training was conducted on 5/20/14 - DPHHS delivered an updated version of the Client Access Tools spreadsheet to Xerox on 8/6/14 - Xerox delivered an updated Client Access Tools spreadsheet on 8/18/14 - Xerox provided a document containing instructions for access to all Xerox tools on 8/28/14 - Xerox is testing AnyConnect, which is a new version of the Cisco VPN client - Access to Xerox SharePoint has been restored for Rhonda Brinkoeter and Bree Thompson - Xerox provided VDI on 9/23/14, which allows access to Microsoft Project, Sonar, JIRA, RQM and CQ - DPHHS requested VDI access for additional DPHHS/PK staff on 11/5/14 - Xerox provided VDI access to the additional DPHHS/PK staff identified on 12/5/14 - DPHHS provided a spreadsheet with a list of URL for correct VDI icons - PWA access was requested for Rhonda Brinkoeter on 12/11/14 	

Issue	What's Been Done	What's Still Needed
	<ul style="list-style-type: none"> - Xerox provided PWA access for Rhonda Brinkoeter on 1/22/15 - Xerox provided the correct URLs for the icons for the VDI desktop - Xerox provided access to MAE through the VDI - DPHHS has instituted a work-around to env90 and env94 access - Xerox provided the correct URLs for the icons for the VDI desktop - Xerox added Blueworks to the VDI and provided access to DPHHS and PK staff - DPHHS has requested that the following additional links be added to the VDI. DPHHS created these icons and provided a directory to Xerox that contained all the icons: <ul style="list-style-type: none"> ·Project 2007 thick client (to access the Project Server files) ·Project Management Metrics Portal (icon or URL in favorites) ·DOORS Thick Client ·DOORS (icon or URL in favorites) ·JIRA (icon or URL in favorites) ·RQM (icon or URL in favorites) ·ClearQuest (icon or URL in favorites) ·Sonar (icon or URL in favorites) ·Env92 (icon or URL in favorites) ·MAE 	

Issue	What's Been Done	What's Still Needed
	<ul style="list-style-type: none"> ·MT HE Prototype ·Blaze - Xerox added Visio to the VDI, to accommodate Blueworks deficiencies - Blaze access has been granted for all DPHHS DDI staff 	
<p><i>10) Personal transportation claims approach has not been defined</i></p> <ul style="list-style-type: none"> - It was determined that the initial Xerox proposal to address personal transportation claims would not meet the DPHHS business needs - Xerox stated during the 8/28/14 governance meeting that personal transportation claims will be handled as a claim in HE - Xerox plans to present this solution in a future sprint - On 12/2/14 Xerox provided an email stating that personal transportation claims would be addressed during S17 and in use case EXH-OM-OM07-004.8-Personal Transportation Claim Generation - The above referenced use case was not included on the S17 agenda - No solution for the Personal Transportation Claims was presented during S21 - Xerox stated that cross-functional meetings will need to be conducted with a number of Functional Areas, before a solution can be established 	<ul style="list-style-type: none"> - DPHHS has requested that Xerox present a solution for how these claims will be handled in HE - DPHHS requested that Xerox identify the use case which addresses these claims - Xerox provided a high-level process flow during the week of 2/23/15 - A meeting between DPHHS and Xerox to discuss the personal transportation claims approach was conducted on 3/13/15 - Xerox has stated that the EXH-OM-OM07-004.8 use case will now be addressed during Sprint 21 - For S21, Xerox provided a list of questions to DPHHS, and a high level discussion was conducted 	<ul style="list-style-type: none"> - Xerox to propose a solution which meets DPHHS requirements and business needs

Issue	What's Been Done	What's Still Needed
<p><i>11) Xerox is not following the escalation procedure outlined in the Issue Management Plan</i></p> <ul style="list-style-type: none"> - Critical issues with due dates that have passed without resolution should be escalated per the Escalation Procedure - Issues in the Xerox SharePoint are not being actively managed - The Xerox Issue Management Plan outlines the escalation procedure 	<ul style="list-style-type: none"> - This was discussed with Xerox during the 8/14/14 Governance meeting 	<ul style="list-style-type: none"> - Xerox to follow the approved Issue Management Plan - Xerox to propose corrective action plans for all critical issues
<p><i>12) Xerox is not developing and implementing risk mitigation and contingency plans for identified risks</i></p> <ul style="list-style-type: none"> - Identified risks do not have appropriate risk mitigation and contingency plans - Risks in the Xerox SharePoint are not being actively managed - The Xerox Risk Management Plan outlines the escalation procedure 	<ul style="list-style-type: none"> - This was discussed with Xerox during the 8/14/14 Governance meeting 	<ul style="list-style-type: none"> - Xerox to propose risk mitigation and contingency plans for all critical risks - Xerox to follow the approved Risk Management Plan
<p><i>13) The System Test Cases for Global Functionality (CN2921) interim deliverable was rejected by DPHHS</i></p> <ul style="list-style-type: none"> - The comments provided by DPHHS for the related design documentation were not reflected in this interim test case deliverable 	<ul style="list-style-type: none"> - The interim deliverable was rejected by DPHHS on 10/15/14 	<ul style="list-style-type: none"> - Xerox to resubmit this interim deliverable with corrections
<p><i>14) The System Test Cases for Global Address (CN2772) interim deliverable was rejected by DPHHS</i></p> <ul style="list-style-type: none"> - The comments provided by DPHHS for the related design documentation were not reflected in this interim test case deliverable 	<ul style="list-style-type: none"> - The interim deliverable was rejected by DPHHS on 10/15/14 - Xerox re-delivered this interim 	<ul style="list-style-type: none"> - Xerox to resubmit this interim deliverable with corrections

Issue	What's Been Done	What's Still Needed
	deliverable on 1/8/15 – This interim deliverable was rejected on 1/27/15	
15) <i>The System Test Cases for HIE (H02059) interim deliverable was rejected by DPHHS</i> – DPHHS submitted review comments with this rejection	– The interim deliverable was rejected by DPHHS on 7/20/15	Xerox to correct the deficiencies and re-deliver this interim deliverable
16) <i>The Test Cases for Provider Early Go-Live Performance (MT537) deliverable was rejected by DPHHS</i> – DPHHS submitted review comments with this rejection	– DPHHS submitted review comments with this rejection – The deliverable was rejected by DPHHS on 9/8/15	– Xerox to correct the deficiencies and re-deliver this deliverable
17) <i>NCCI (National Correct Coding Initiative) Edits in HE are not at the correct position</i> – In January of 2013 DPHHS discovered that HE was not processing NCCI edits at the same position in the adjudication cycle as our current legacy system – Legacy MMIS does process the edits in the correct order – The current position of the NCCI edits in HE is not in compliance with the CMS regulations – A gap was developed to have Xerox move that process closer to the beginning of the adjudication process but after member, provider and data validity edits were completed	– In order to get verifiable evidence to justify the DPHHS request to move the NCCI editing, a letter was sent to CMS – DPHHS received a response from CMS and they fully agreed that NCCI editing needed to be moved to position 1.5 in the adjudication process – CMS also confirmed that Xerox's current process was not in compliance with CMS regulations – Xerox submitted a letter to CMS,	– Xerox and DPHHS to agree on the appropriate changes to HE to accommodate the CMS NCCI requirements – Xerox to update platform and the MT HE solution to meet the CMS NCCI requirements

Issue	What's Been Done	What's Still Needed
<ul style="list-style-type: none"> - Xerox felt it would be difficult and time consuming to make the requested changes 	<p>outlining their proposed changes to the current NCCI edits, to address this concern on 4/28/15</p> <ul style="list-style-type: none"> - CMS forwarded the Xerox letter to DPHHS on 5/4/15 - DPHHS submitted a response to CMS regarding the Xerox proposed solution on 5/7/15 - The Xerox proposed solution does not address the non-compliance issues identified by DPHHS - On 7/2/15, DPHHS provided a letter to Xerox from CMS, dated 6/16/15, indicating that the Xerox proposed solution did not meet CMS requirements - A meeting with DPHHS and Xerox was held on Monday, 8/3/25 to discuss the approach for NCCI editing changes 	

1.3 Risks for Management Attention

The following table summarizes the most important risks for the project along with recommended actions. Refer to the project risk log for more detailed information about project risks.

Risk	What's Been Done	Recommendation
<p><i>1) Xerox has stated that they will not meet the current Provider Early Go-live (PEGL) date by following the planned concept and delivery approach</i></p> <ul style="list-style-type: none"> - Xerox has proposed an alternate approach which entails some out of session work and a changed focus during the sessions - During an Architecture Review meeting on 5/12/15, it became clear that Xerox had two different teams of people that were working on different architectural approaches to accommodate PEGL 	<ul style="list-style-type: none"> - Xerox delivered a Power Point presentation, outlining a proposed alternative approach on 2/5/15 - On 2/5/15, DPHHS requested that Xerox walk DPHHS through this proposed approach during the next DDI PM meeting - Xerox walked DPHHS through an updated Power Point presentation, outlining a proposed alternative approach during the DDI PM meeting on 2/10/15 - Xerox provided responses to some questions and action items identified in the DDI PM meeting on 2/11/15 - Xerox and DPHHS met to discuss the specifics of the Xerox alternate approach on 2/17/15 - On 4/22/15, Xerox indicated that there is agreement with DPHHS on the wording of the Provider Enrollment, HE Login and HE Initial Screen approaches, however the FAS 	<ul style="list-style-type: none"> - Xerox to accelerate completion of the provider early go-live tasks to get the this functional area back on schedule - Xerox will conduct weekly Provider Early Go-live meetings - Xerox to provide additional information for DPHHS evaluation, in order to come to final agreement on the architectural and security approaches - Xerox to provide responses to all DPHHS questions/concerns, related to the Xerox alternate approach

Risk	What's Been Done	Recommendation
	<p>has indicated that they are still evaluating designs and estimates before deciding on what to do</p> <ul style="list-style-type: none"> - Xerox responded to DPHHS on 6/23/15 with a high-level proposed approach to architecture and security for PEGl, although Xerox stated in their proposal that it is incomplete, as they are still working out some additional details - DPHHS delivered a list of questions/concerns to Xerox, regarding their PEGl alternate approach, on 7/15/15 - DPHHS notified Xerox on 8/19/15 that PEGl communication with providers should not begin until DPHHS is able to independently validate that the PEGl solution is working without error and that Xerox is able to demonstrate a defined set of end-to-end business processes 	
<p><i>2) Potential delay to the Full MMIS/PBM/DSS Implementation Date (5/30/17 = re-planned go-live date)</i></p> <ul style="list-style-type: none"> - The key project metrics as of 9/16/15 are as follows: <ul style="list-style-type: none"> o Project SPI = .522 o Past Due Deliverables & Interim Deliverables = 324 o Slipped tasks = 4,865 	<ul style="list-style-type: none"> - This risk has been entered in the Xerox SharePoint - DPHHS required Xerox to implement a corrective action plan - The Xerox proposed Workflow Work Plan was approved by DPHHS on 5/26/15 	<ul style="list-style-type: none"> - Xerox to implement a mitigation plan to resolve this risk - Xerox to implement a contingency plan for this risk

Risk	What's Been Done	Recommendation
<ul style="list-style-type: none"> ○ At the end of Sprint 22, Concept Work should be completed for 95% of the Use Cases as Planned, but is currently only 22% complete. ○ At the end of Sprint 22, Delivery should be completed for 93% of the Story Points as Planned, but is currently only 49% complete. – Based on these numbers and the fact that they have generally been trending downward since December 16, 2014, there is material risk that Xerox will not successfully meet the May 30, 2017 full go-live implementation date if this trend does not improve dramatically 		
<p><i>3) Xerox is at risk of missing their first Payment Milestone – Benefit Plan – Scheduled for 11/16/15</i></p> <ul style="list-style-type: none"> – There are Benefit Plan gaps still in a New status that have not been designed by Xerox or discussed in Concept sessions – There are no future Concept sessions scheduled for the Benefit Plan functional area – Based on an email sent to DPHHS by Xerox on 7/23/15, the configuration of the Basic Medicaid Benefit Plan is 35% complete with an estimated due date is N/A, and the configuration of the Full Medicaid Benefit Plan has not begun 	<ul style="list-style-type: none"> – DPHHS notified Xerox of this concern during the 3/26/15 Weekly Status meeting – Xerox submitted D-I: Design Documentation for Benefit Plan Administration (BP6) on 5/26/15 – DPHHS submitted comments to Xerox on 6/15/15 – A meeting to review outstanding comments on BP6 was conducted on 7/15/15 – On 7/30/15 DPHHS requested that Xerox include an update on this payment milestone in the Xerox 	<ul style="list-style-type: none"> – Xerox to design an implement a mitigation plan to achieve this payment milestone – Xerox to respond to each Benefit Plan tasks inconsistency identified by DPHHS and propose a QA process to prevent these inconsistencies in the future

Risk	What's Been Done	Recommendation
	<p>Weekly Status report</p> <ul style="list-style-type: none"> - DPHHS/PK developed a Benefit Plan Payment Milestone Checklist and delivered this document to Xerox on 8/27/15 - Xerox delivered the Benefit Plan Status Tab on 8/30/15 - A meeting to discuss expectations for the delivery of the Benefit Plan Payment Milestone is scheduled for 9/3/15 - Xerox delivered comments to DPHHS on the Benefit Plan Payment Milestone Checklist on 9/8/15 - A meeting with DPHHS and Xerox to discuss DPHHS identified Benefit Plan gap discrepancies between DOORS/JIRA was scheduled for 9/9/15, however Xerox representatives did not attend this meeting - The Benefit Plan task inconsistencies between the various Xerox tools were identified and reviewed in a meeting in a meeting with Xerox on 9/16/15 - Xerox commented on the DPHHS responses the Benefit Plan Payment Milestone Deliverable Checklist comments on 9/29/15 - Xerox provided responses to the 	

Risk	What's Been Done	Recommendation
<p><i>4) Concerns with existing legacy data related to Provider that is either incomplete, inaccurate or not present in the legacy MMIS and may impact the MMIS DDI</i></p> <ul style="list-style-type: none"> - Many Provider SSNs, affiliations, ownership, and service locations are either incomplete, inaccurate or not present in the legacy MMIS - SSN will be required for CMS certification of HE - There are many other missing provider data elements that will be essential for proper functionality of the HE system 	<p>DPHHS identified Benefit Plan inconsistencies on 9/30/15</p> <hr/> <ul style="list-style-type: none"> - A risk has been entered in the Xerox SharePoint Risk List - Internal DPHHS discussion was held on 7/8/13 - DPHHS delivered a document to Xerox on 2/3/14, articulating their provider data concerns - DPHHS and Xerox reviewed this document in the Provider Enrollment Data Collection meeting on 2/5/14 - Xerox presented solutions to some of the DPHHS documented data concerns in last week's Provider Enrollment Data Collection meeting on 3/12/14 - DPHHS provided feedback to the Xerox solutions presented in the Provider Enrollment Data Collection meeting on 3/12/14 - Xerox presented solutions to some of the Provider data concern items in the 3/19/14 Provider Enrollment Data Collection meeting, however these solutions were not out of sync with previous discussions 	<ul style="list-style-type: none"> - Xerox to complete design for their proposed solutions to the Provider data concern items - Xerox to provide information discussed in the Provider Enrollment Data Collection meetings to the Provider Functional team, as this design will be addressed in the Provider Concept sessions

Risk	What's Been Done	Recommendation
	<ul style="list-style-type: none"> - Xerox presented solutions to the remainder of Provider data concern items in the 3/26/14 Provider Enrollment Data Collection meeting - Xerox provided a demonstration outlining how the provider data issues are being addressed, during the governance meeting on 8/28/14 	
<hr/>		
<p><i>5) Xerox has proposed an Agile-hybrid design and development methodology</i></p> <ul style="list-style-type: none"> - This methodology relies on offline interaction between Xerox functional teams and DPHHS BAs to make design decisions - This methodology does not allow proactive participation by DPHHS SMEs in design decisions - The Sprint Release Plan delivered on 4/12/14 does not appear to have an reasonable number of use cases allocated to Concept Sprint 1 - Xerox has indicated that concept work not completed in Sprint 1 will be moved to a later sprint - As part of the cure for the material breach, Xerox has 90 days to correctly implement dependencies for the concept and delivery sprints, and correctly align the dependencies in the Concept Release Plan and JIRA - Per the Xerox Daikibo training materials, design documentation to be presented in 	<ul style="list-style-type: none"> - Discussions have been held between Xerox and DPHHS to better understand the proposed methodology - Xerox delivered a Concept Release Plan on 4/12/14 - DPHHS delivered initial comments to Xerox on the Concept Release Plan on 4/13/14 - A meeting was held to discuss DPHHS concerns on the Concept Release Plan on 4/14/14 - CR136 – Complementing the MT SPARKS-ITS Methodology with Agile Daikibo was signed by DPHHS on 7/14/14 - Xerox delivered a new proposed Concept Release Plan on 9/16/14 - DPHHS provided review comments on the Concept Release Plan on 9/24/14 	<ul style="list-style-type: none"> - DPHHS to monitor the progress of this new methodology and assess it's effectiveness based on DPHHS and Xerox agreed criteria - DPHHS will continue to monitor Xerox performance under CAP Items B & C

Risk	What's Been Done	Recommendation
<p>concept sessions should be complete, accurate, and representative of the functionality in HE env92</p> <ul style="list-style-type: none"> - The Member and Care Management functional areas continued to experience quality issues during S21 and S22 	<ul style="list-style-type: none"> - Xerox is currently evaluating a new approach to the concept sprints - On 12/9/14, Xerox announced their plan to proceed with Option C for Sprint 12 - Xerox has implemented a new approach to concept sprints, beginning with S12 - Two concurrent functional areas are alternating every other week - PK has revised the survey to be more in line with the Option C session approach and to gather information on CAP items relevant to design sessions - The new version of the survey was launched the week of 3/16/15 - In Sprint 16 - CAP Item B 6 of 9 functional area sessions failed the session preparation evaluation and CAP Item C 5 of 9 functional area sessions failed the session execution evaluation - DPHHS issued Notices of Material Breach to Xerox for CAP Items B (design session prep) and C (design session execution) on 5/15/15 - DPHHS completed evaluation of CAP Items B & C for S19 – Xerox had 24 passes and 4 fails - DPHHS determined that Xerox has 	

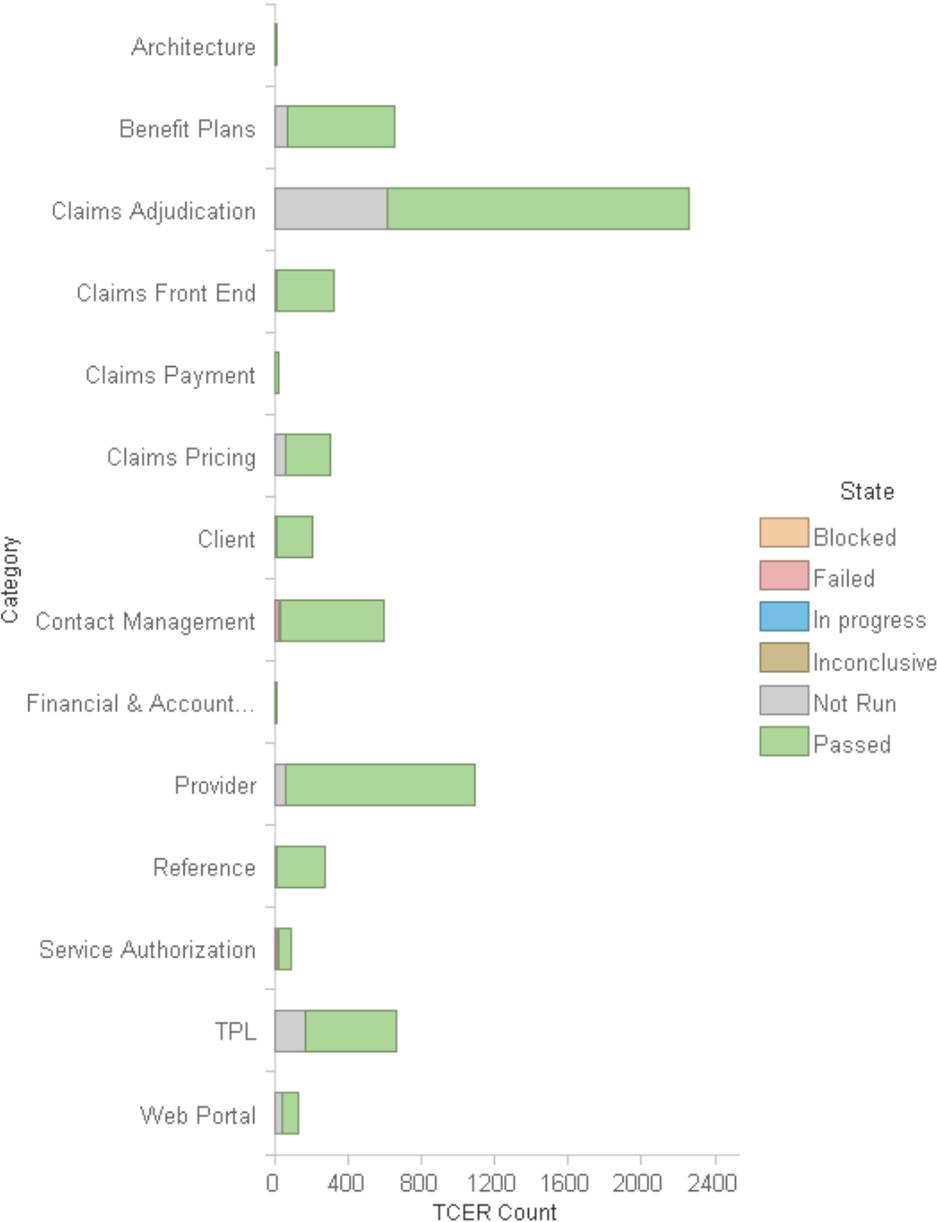
Risk	What's Been Done	Recommendation
	<p>satisfied the requirement for CAP Items B & C at the end of S19</p> <ul style="list-style-type: none"> - DPHHS has halted the assessment of liquidated damages as of the end of S19 - DPHHS & PK completed evaluations of CAP Items B & C for S19, S20, and S21 	
<hr/>		
<p><i>6) Schedule for conversion mapping needed for each functional area</i></p> <ul style="list-style-type: none"> - The updated mappings were not reviewed with the DPHHS functional team prior to delivery - Mapping reviews will need to be scheduled within sessions for each functional area - DPHHS agreed to a trial Provider conversion mapping session for review of only CRs to be held outside of regular concept sessions - Xerox has not yet scheduled the trial Provider Conversion Mapping session 	<ul style="list-style-type: none"> - The Provider Conversion Mapping interim deliverable was delivered to DPHHS on 12/1/14 - The Contact Management Conversion Mapping interim deliverable was delivered to DPHHS on 1/21/15 - The Member Conversion Mapping interim deliverable was delivered to DPHHS on 3/18/15 - The Service Auth Conversion Mapping interim deliverable was delivered to DPHHS on 5/13/15 - Xerox conducted the trial Provider conversion mapping session on 6/22/15 - Feedback was provided to Xerox, identifying the functional areas that would require full conversion mapping reviews, those that could review change requests only 	<ul style="list-style-type: none"> - This issue was discussed during the DDI PM meetings on 12/9/14, 1/20/15, and 2/17/15 - Xerox to schedule mapping reviews for each additional functional area

Risk	What's Been Done	Recommendation
<p><i>7) Large amount of design work remains for the Reference functional area</i></p> <ul style="list-style-type: none"> - A large number of use cases remain to be updated and delivered to DPHHS, reviewed, and design needs identified - The delay in progress in Reference is impacting the Claims functional area - A 3-day Reference session was conducted during S16 - No Use case gaps were marked "functionally ready for delivery" during S16 	<ul style="list-style-type: none"> - This issue was discussed during the DDI PM meetings on 12/9/14 and 2/17/15 - Reference sessions were held during Sprints 19 and 20 	<ul style="list-style-type: none"> - Xerox to schedule a meeting for discussion of the work remaining in the Reference functional area - A Reference session is planned for Sprint 22

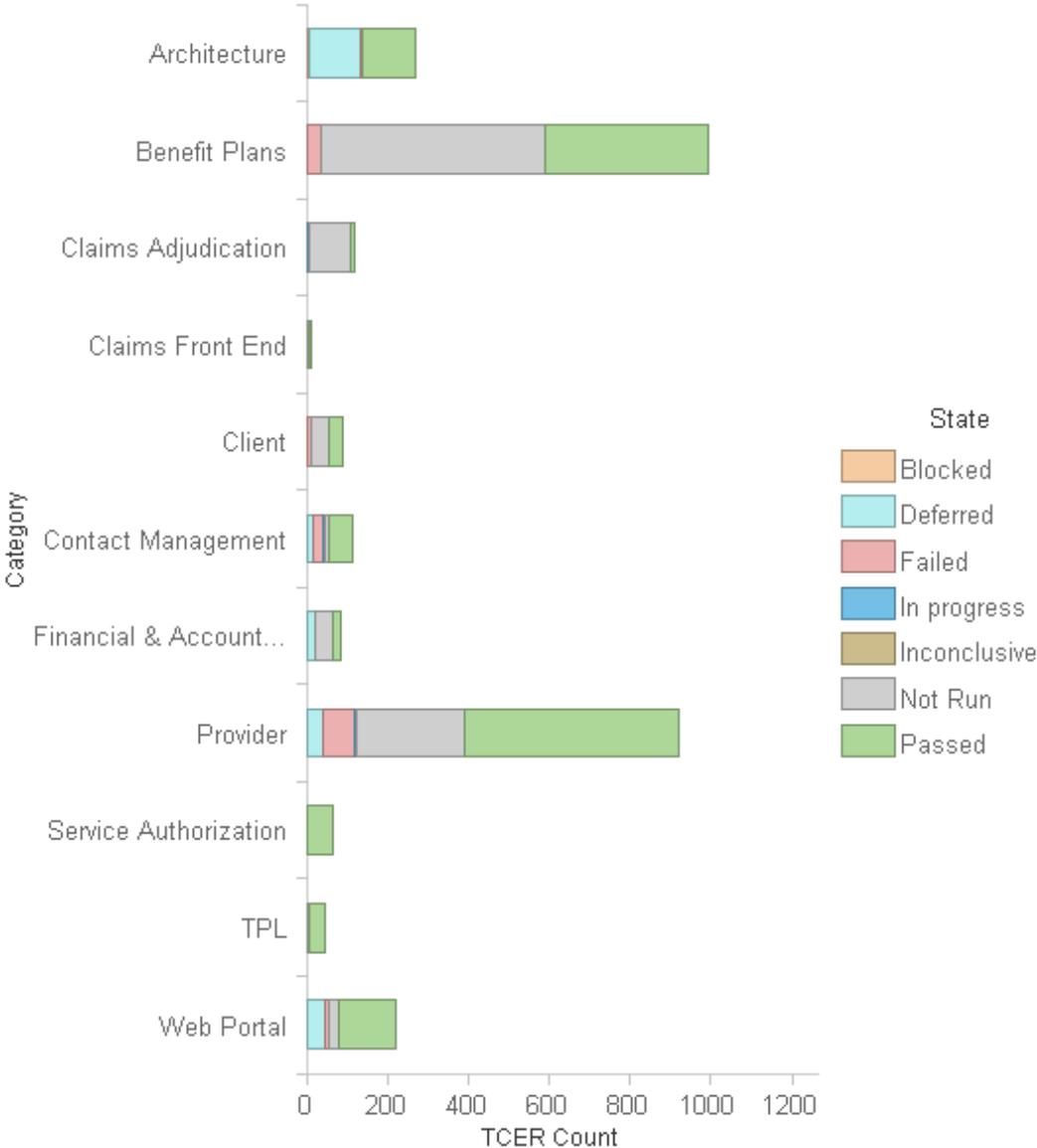
1.4 Performance Metrics

The metrics included in this section will vary according to project phase and major activity. These metrics are based on the current approved Xerox project work plan.

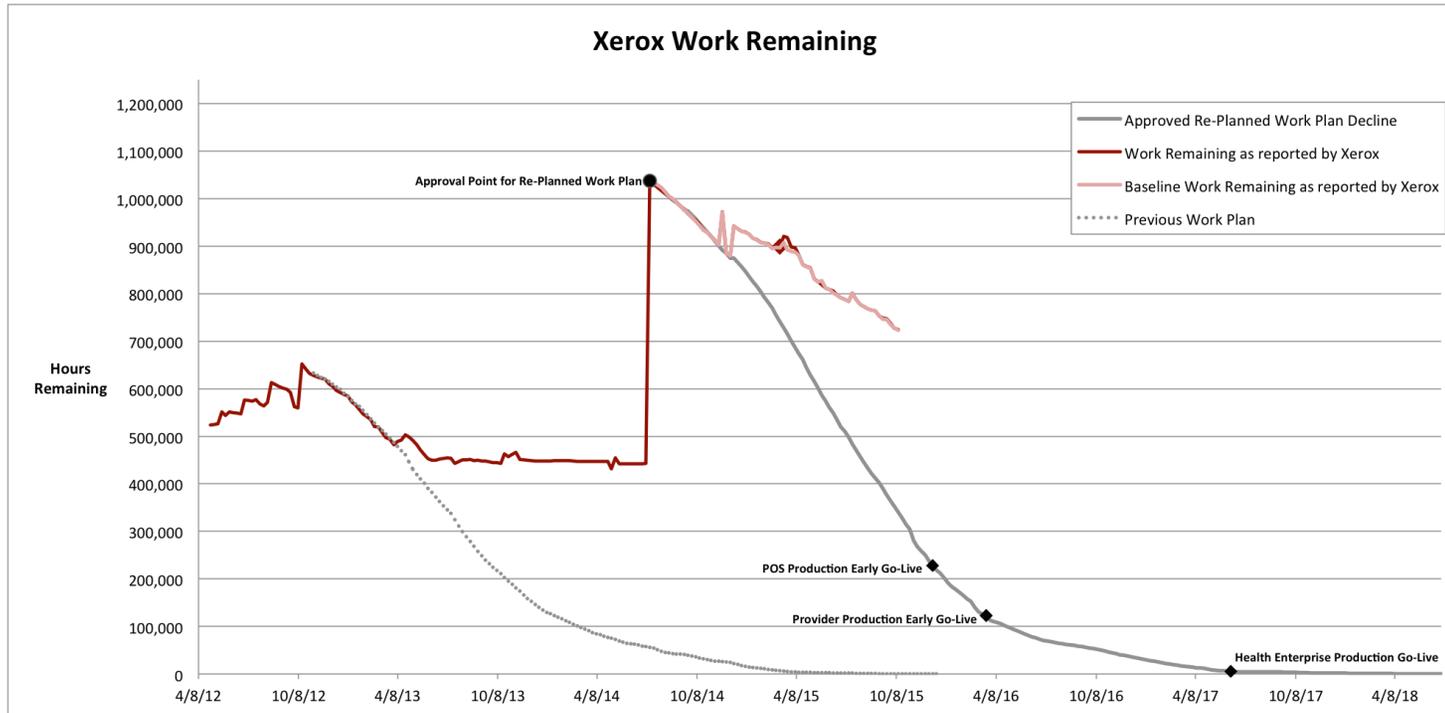
Functional Integration Test (FIT) Test Overall Execution by Functional Area – By Test Case (RQM)

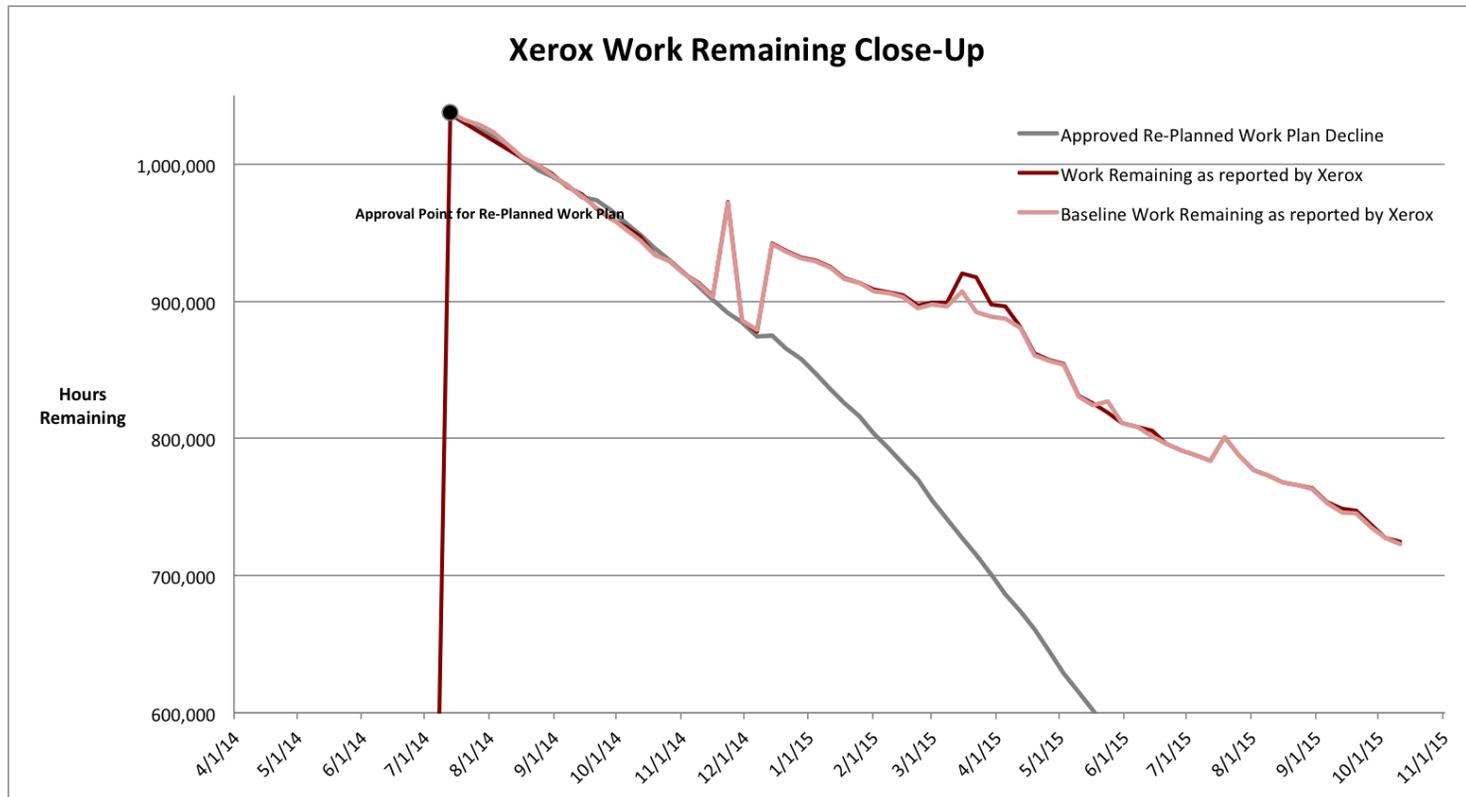


System Test (SYST) Overall Execution by Functional Area – By Test Case (RQM)



Declining Work Balance





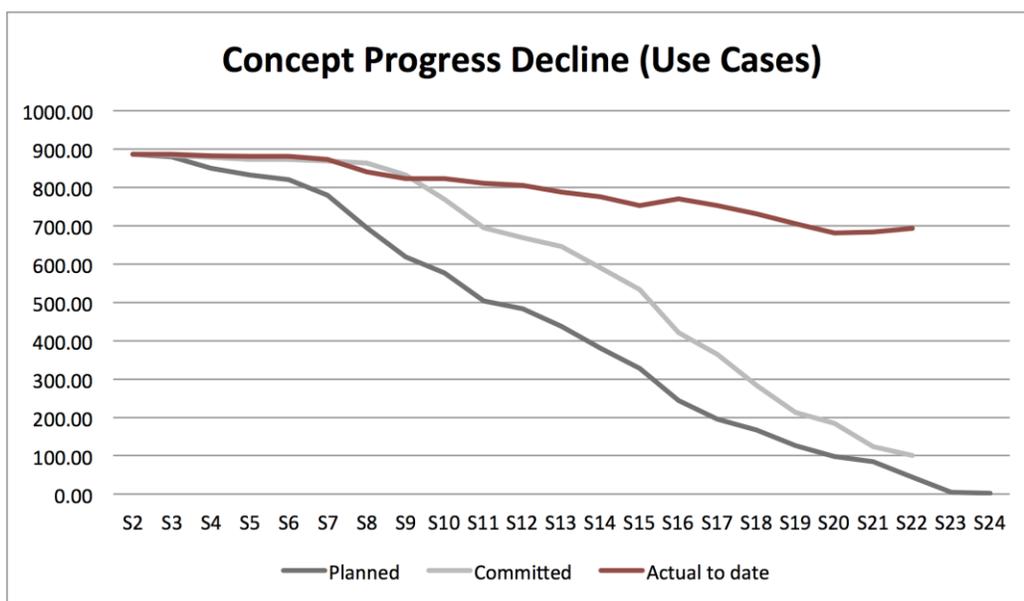
Xerox Functional Area Sprint – Progression and Approval

The statistics below are reported by Xerox in the Cognos Project Management Metrics portal. These statistics have not been confirmed by DPHHS/PK as being correct.

Concept Progress

At the end of Sprint 22, Concept Work should be completed for 95% of the Use Cases, but is currently only 22% complete.

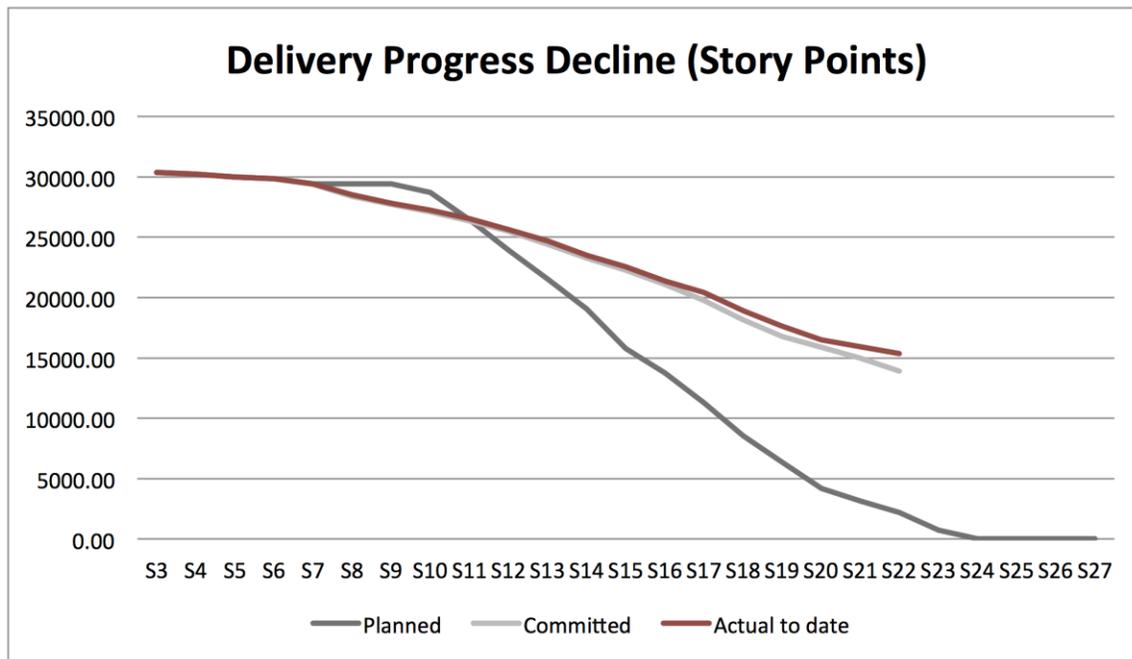
CONCEPT PROGRESS (use cases)			
Sprint	Planned	Committed	Actual
S3	6	2	0
S4	30	6	3
S5	18	5	2
S6	12	0	0
S7	40	4	8
S8	86	6	33
S9	76	31	19
S10	41	63	0
S11	73	75	13
S12	21	26	5
S13	46	22	18
S14	56	56	12
S15	53	56	23
S16	84	113	17
S17	49	56	14
S18	28	82	8
S19	41	70	8
S20	28	29	4
S21	14	60	7
S22	40	24	2 (sprint in progress)
S23	39	0	



Delivery Progress

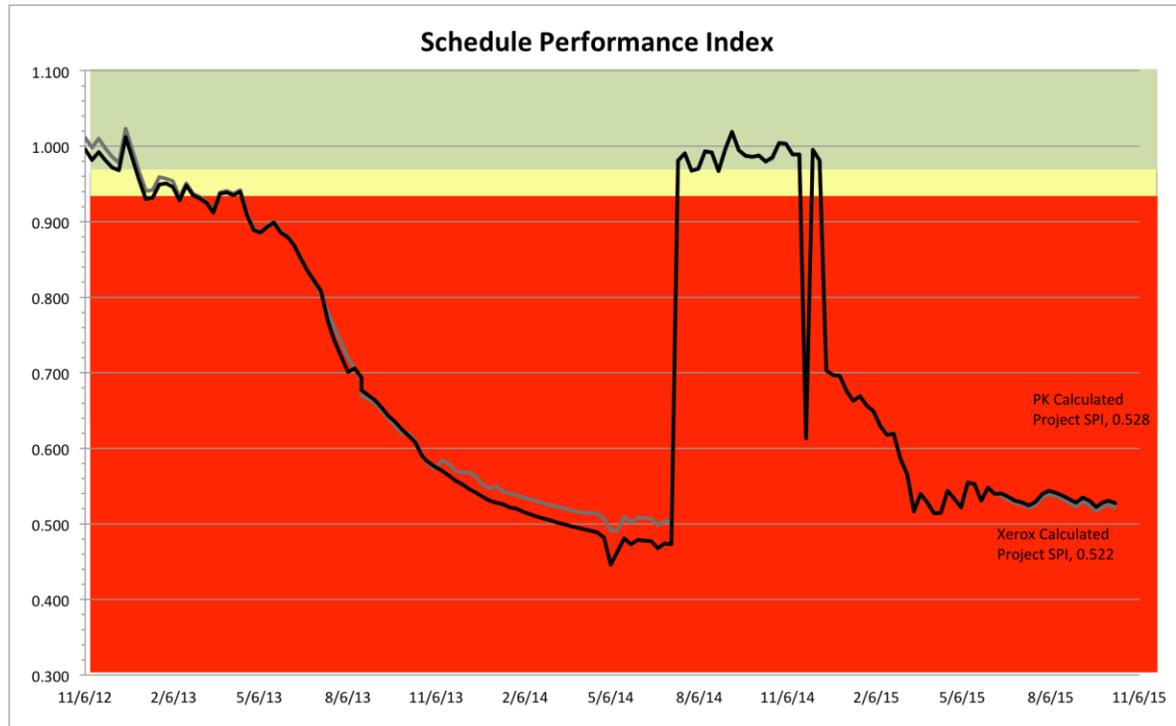
At the end of Sprint 22, Delivery should be completed for 93% of the Story Points, but is currently only 49% complete.

DELIVERY PROGRESS (story points)			
Sprint	Planned	Committed	Actual
S4	125	125	125
S5	223	242	229
S6	162	152	149
S7	443	463	423
S8	0	977	925
S9	0	681	670
S10	673	605	574
S11	2,284	768	744
S12	2,530	870	856
S13	2,410	1,079	986
S14	2,462	1,113	1,186
S15	3,254	1,004	926
S16	2,024	1,194	894
S17	2,475	1,303	1,393
S18	2,734	1,663	1,409
S19	2,208	1,342	1,270
S20	2,131	906	1,143.5
S21	1,063	934	993.5
S22	962	1048	113 (sprint in progress)
S23	1,441		



Schedule Performance Index (SPI)

In early December 2014, DPHHS questioned the Xerox work plan progress reporting methodology. Xerox stated that they were using a time-based reporting approach, rather than reporting on actual progress. Xerox delivered one status report in early December based on actual progress, rather than the time-based approach, then stated that they discovered many problems in JIRA with dependencies and task mapping to Microsoft Project. They went back to the time-based reporting approach until 12/16/14, when they began reporting on actual task progress again.

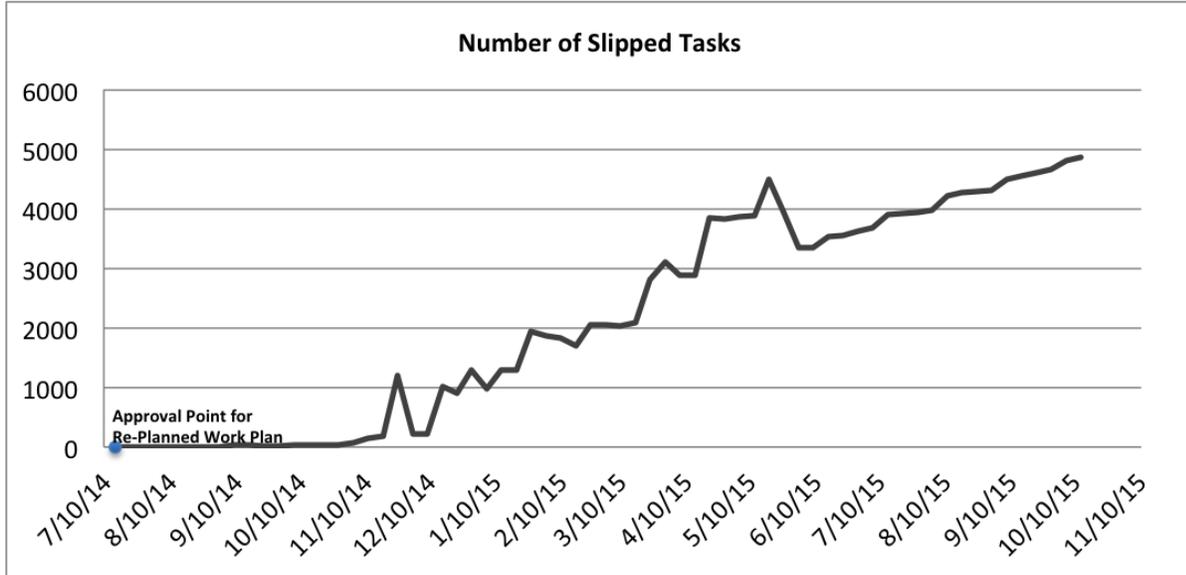


The PK calculated SPI may vary from Xerox reported number based on the following difference in Planned / Earned Value measurement:

- Xerox calculates planned value at the task level while evenly distributing planned hours over the lifetime of the task. As of the July 18, 2014 Monthly Report, Xerox performs a manual calculation for earned value by multiplying the total Baseline Cost by the % work complete. This manual calculation is necessary as the MS Project Server was not able to accurately “roll-up” the values from the thirty-five sub-plans into the “Master Project Sprint Schedule” work plan.
- PK calculates planned value by the hours scheduled to have been completed to date.
- PK continues to use the earned value calculations reported by Xerox in the SPI calculation.
- Xerox has been making changes to the baseline work plan, to incorporate additional work plans that were approved as part of Amendment #5, to incorporate consistency between the core work plan and COTS plans, and to incorporate deliverable date changes that were approved by DPHHS

Slipped Tasks

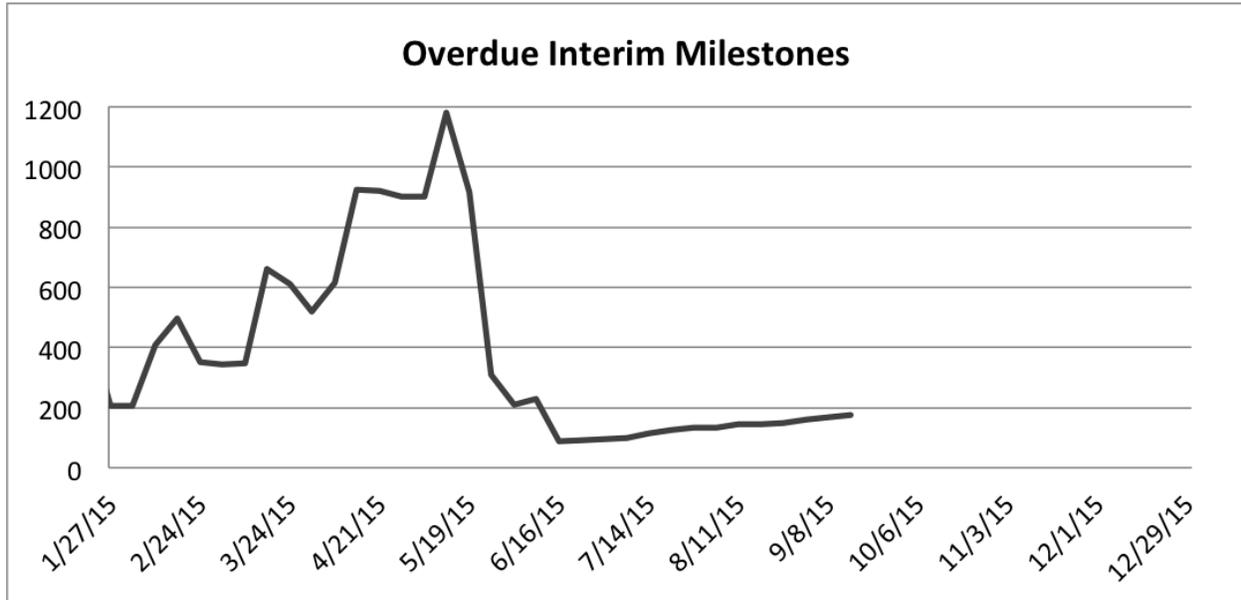
Slipped tasks are tasks whose baseline start and/or finish dates have passed.



Last week Xerox reported 4,865 slipped tasks. Xerox did not report project slipped tasks for the week ending 10/16/15.

NOTE: *Slipped tasks decreased at the beginning of June, due to the sprint cleanup activities currently being conducted by Xerox.*

Overdue Interim Milestones

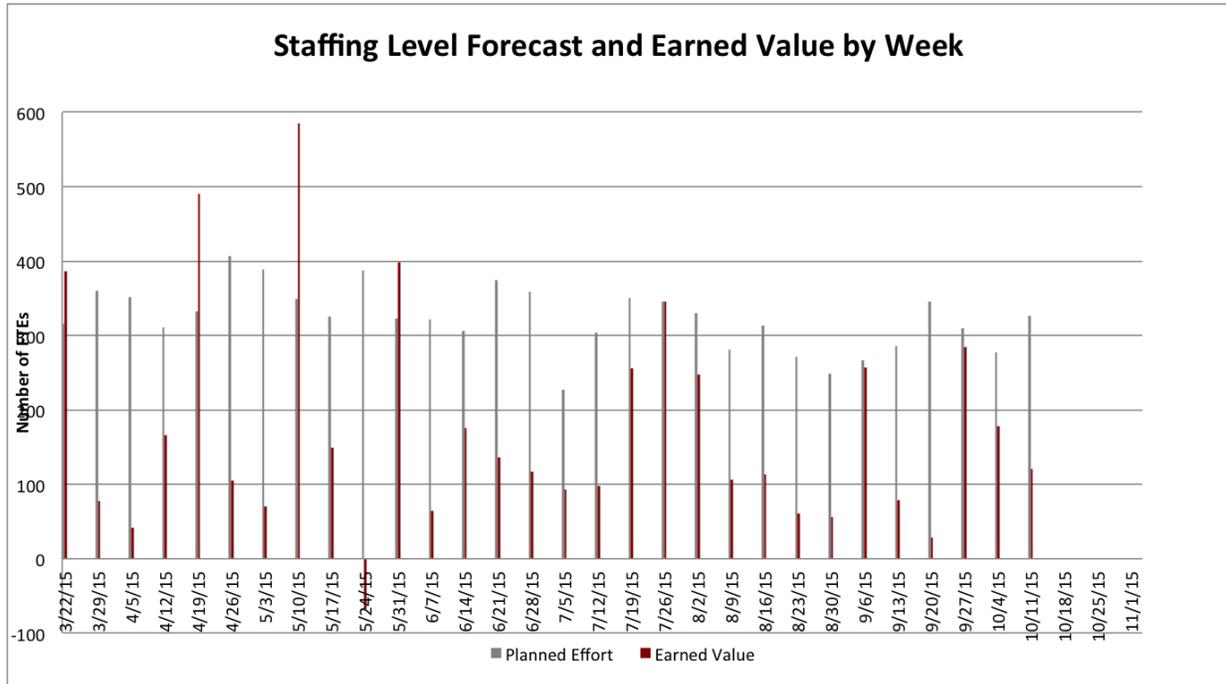


Last week Xerox reported 188 past due interim milestones. Xerox did not report project past due interim milestones for the week ending 10/16/15.

Please note that the overdue interim milestone count reported by Xerox for the weeks of 1/28/15 and 2/4/15 were missing counts for some of their work plans, so the number was under-reported. Xerox has corrected the reporting error and will report overdue interim milestones for all work plans, going forward.

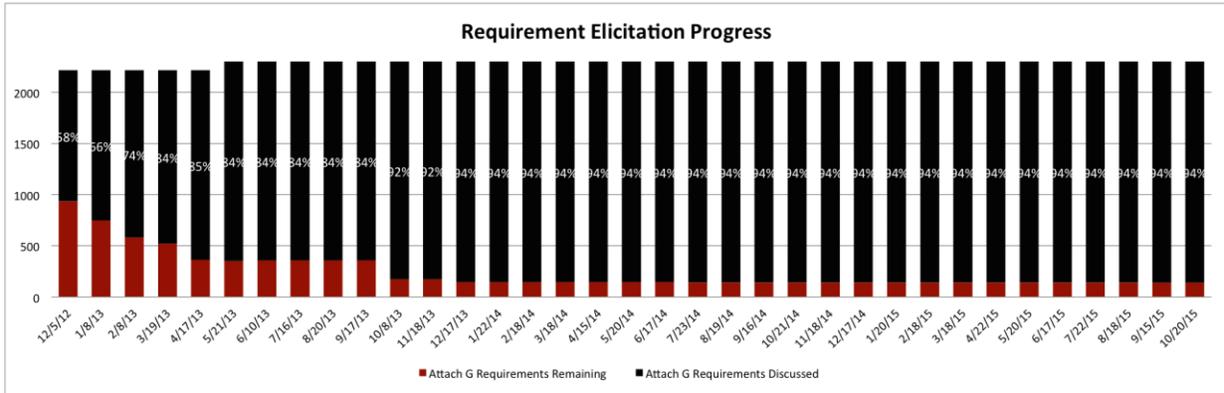
Also note that Xerox has now completed the effort to remove many of the duplicative Sprint-level interim milestones, which is the cause of the dramatic drop in overdue interim milestones at the beginning of June 2015.

Staffing Level Forecast and Earned Value



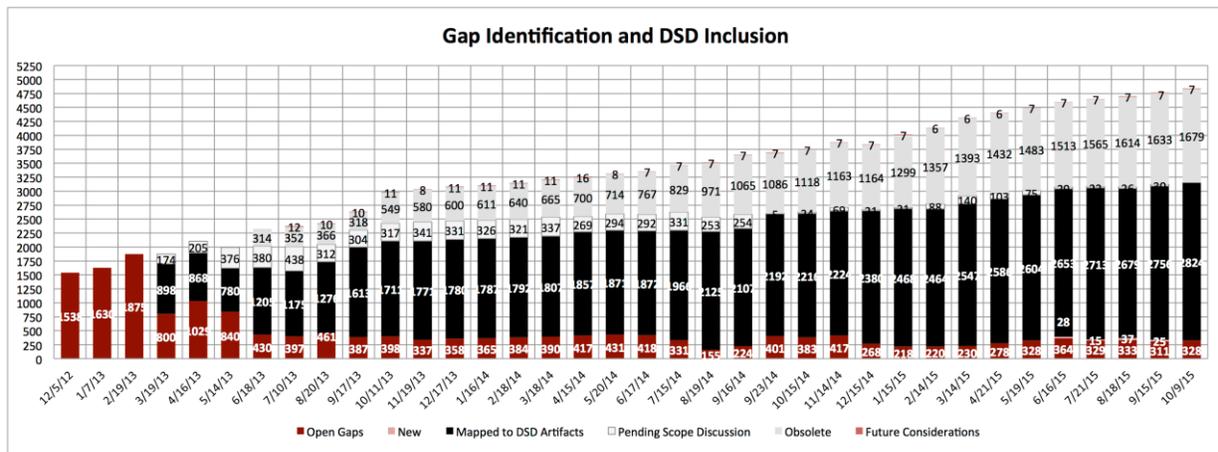
Earned value variance last reporting period was 8,503. Xerox did not report project earned value for the week ending 10/16/15.

Requirement Elicitation Progress



There are 6% of the Attachment G requirements that have not yet been discussed in all relevant requirements sessions.

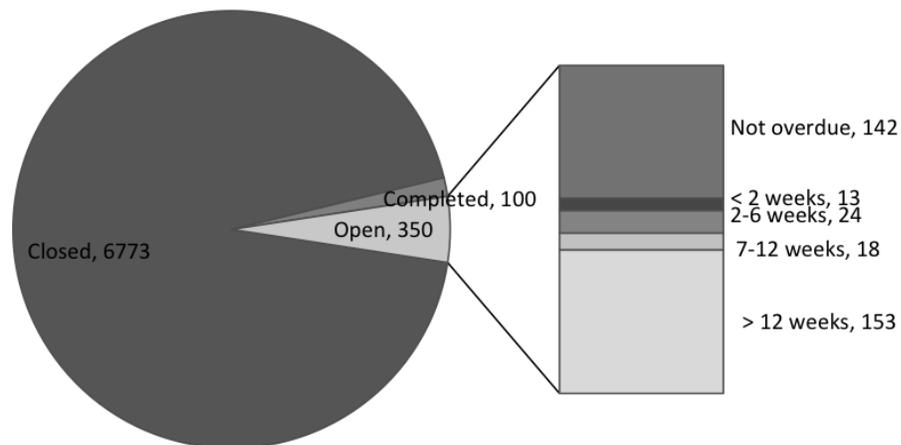
Gap Identification and Design



Note: The number at the top of each column (starting in July 2013) is the count of gaps with the status "Future Consideration".

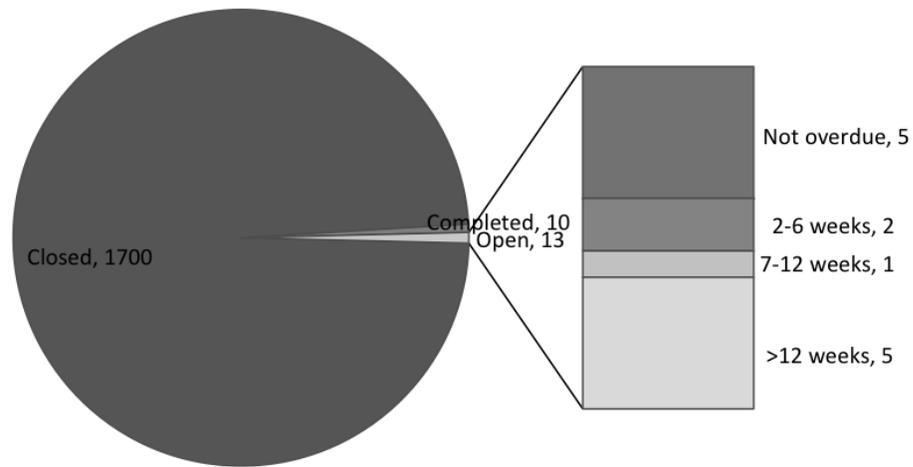
- There are 55 gaps in a "New" status in DOORS
- There are 18 gaps in a "Pending" status in DOORS
- 34% of identified gaps have been assigned a status of "Obsolete" in DOORS
- The Obsolete Gap count has increased by 46 since the September 2015 monthly report
- There are a large number of gaps (328) that have not been mapped to a DSD artifact. This number has increased by 17 since the September 2015 report
- Total gaps are 4,903, an increase of 141 from the September 2015 report

Xerox SharePoint – Action Items Log

**Xerox Assigned Action Items
by Status and Weeks Overdue**

- Xerox Open Action Items have decreased by 34 from last month's count
- Xerox Completed Action Items have increased by 18 from last month's count
- Xerox Closed Action Items have increased by 35 from last month's count
- Xerox <2 weeks overdue Action Items have increased by 2 from last month's count
- Xerox 2-6 weeks overdue Action Items have decreased by 12 from last month's count
- Xerox 7-12 weeks overdue Action Items have decreased by 5 from last month's count
- Xerox >12 weeks overdue Action Items have decreased by 74 from last month's count

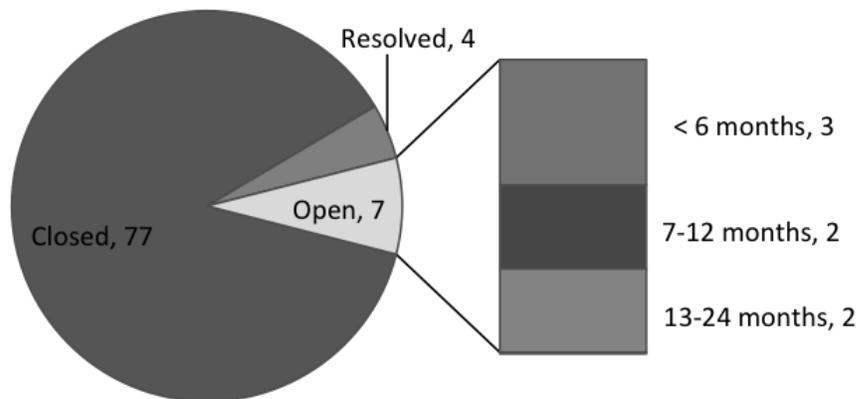
DPHHS Assigned Action Items by Status and Weeks Overdue



- DPHHS Open Action Items have decreased by 2 from last month's count
- DPHHS Completed Action Items increased by 1 from last month's count
- DPHHS Closed Action Items have increased by 1 from last month's count
- DPHHS <2 weeks overdue Action Items have decreased by 1 from last month's count
- DPHHS 2-6 weeks overdue Action Items have remained the same from last month's count
- DPHHS 7-12 weeks overdue Action Items have decreased by 3 from last month's count
- DPHHS >12 weeks overdue Action Items have increased by 3 from last month's count

Xerox SharePoint – Issues Log

Issue Log by Age and Status



- Open Issues increased by 1 from last month's count
- Closed issues remained the same from last month's count
- Resolved issues remained the same from last month's count
- There are two issues that have been open for longer than 1 year
- There are two issues that have been open for longer than 2 years

2 - IV&V Status Report

Activities Since Last Report

Planned Activity	Status	Summary of Results
Participated in and scribed Sprint 22 Solution Demonstration meetings for Claims Pricing, Provider, Service Auth, Claims Adjudication, Reference, Member, Claims Front End, Contact Management, Care Management and Waiver during the weeks of 9/28/15 and 10/5/15	Complete	Minutes posted to the DPHHS SharePoint
Reviewed and submitted comments to Xerox on the Communication Plan for POS Early Go Live (PI180) deliverable	Complete	Conducted review of this deliverable and submitted comments to Xerox
Reviewed and submitted comments to Xerox on the Cutover Plan for POS EGL Deliverable (PI188) deliverable	Complete	Conducted review of this deliverable and submitted comments to Xerox
Reviewed and submitted comments to Xerox on the Pharmacy POS Early Go-Live DSD (PH577) deliverable	Complete	Conducted review of this deliverable and submitted comments to Xerox
Reviewed and submitted comments to Xerox on the System Documentation for Pharmacy POS (PI178) deliverable	Complete	Conducted review of this deliverable and submitted comments to Xerox
Conducted analysis on completed and outstanding project CRs in Xerox SharePoint	Complete	Analysis conducted to complete final HE DDI Document of Record (DoR)
Began incorporating changes from relevant CRs to the Document of Record	In-progress	Began work on final HE DDI DoR
Completed September Contract Compliance Journal	Complete	Compiled September 2015 items for the Contract Compliance Journal, for delivery to DPHHS

Maintained the PK Remaining Requirements Report	In-progress	This is an on-going task. The Remaining Requirements report will be updated after each collaboration session and based on discussions from informal functional area meetings
Continued maintenance of the Change Control Board Log to track needed changes to the RFP	In-progress	-The Document of Record will be updated as needed -This is an on-going task
Finalizing the PK Project work plan	On-hold	The completion of the PK work plan task is in progress, but currently on-hold, pending finalization of the Xerox project work plan

Obstructions or Barriers

Obstruction/Barrier	Action Needed
<i>Large number of Xerox action items not addressed</i>	Xerox should create a plan for the rapid closure of action items.
<i>Gap tracking and process management and unresolved out of scope gap concerns</i>	Processes for management of gaps and resolution of the outstanding out of scope gaps should be followed.

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