



MONTANA LEGISLATIVE BRANCH

Legislative Fiscal Division

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Legislative Fiscal Analyst
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Date: October 7, 2008

To: Legislative Finance Committee

From: Jon Moe
Fiscal Specialist

Subject: Required Reports for October 2008 LFC Meeting – Web Version

The legislature (through statute, language in bills, or committee action) requires certain reports to be submitted to the Legislative Finance Committee (LFC) and the Legislative Fiscal Division (LFD). State agencies and other entities have been requested to provide information on various subjects of interest to facilitate the decision-making process.

The reports fit into two categories: 1) reports required to be submitted to the LFC are generally to monitor compliance or progress with legislative policy/initiatives; and 2) reports required to be submitted to the LFD are primarily to provide data relevant for staff analysis of budget issues for the next legislative session. The attached report lists the required reports identified and the occurrences of the reports received or expected to be received during the 2009 biennium.

Ten reports have been received since the last LFC meeting (June 2008). Those reports are items #1, #5, #6, #9, #13, #14, #17, #18, #22, and #23 but copies of the report are not included in this document. They are, however, available upon request.

Generally, this summary report on “required reports” is provided to the committee simply for information purposes. Although it is on the LFC meeting agenda, there are typically no oral reports provided unless there is an issue identified by the staff that needs to be brought to the committee’s attention. If a committee member has a question, he or she can contact the indicated staff member directly or raise the question in the meeting.

If you have questions or wish to obtain a particular report, please feel free to contact Jon Moe by e-mail at jonmoe@mt.gov or by telephone at 406-444-2986.

Required Reports List for 2008-09 Biennium

Prepared by the Legislative Fiscal Division

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
1 House Bill 2	DPHHS	Semiannual	LFC	Daumiller	
Short Description: Report summarizing direct care wage rates (Section B of HB 2, page B-11 of HB 2 version in fiscal report)					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	DPHHS	3/1/2008	3/5/2008	On file
	2009	DPHHS	9/1/2008	9/24/2008	On file

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
2 2-17-515	Administration	Upon Occur.	LFC	DeWitt	
Short Description: Exceptions to information technology policy, standard, or other requirement.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	Administration	10/9/2007	10/9/2007	Provided at Oct 2007 LFC meeting
	2008	Administration	12/11/2007	11/26/2007	Provided for Dec 2007 LFC meeting in CIO report
	2008	Administration	3/6/2008	3/6/2008	Provided at Mar 2008 LFC meeting
	2008	Administration	6/5/2008	6/5/2008	Provided at Jun 2008 LFC meeting
	2009	Administration	10/9/2008		Expected at Oct 2008 LFC meeting

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Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
3 2-17-522	Administration	March, Even Yr	LFC	DeWitt	
Short Description: Updates to state strategic information technology plan. It is to be provided to the LFC at its first meeting following March 1st of even year.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	Administration		7/30/2008	Final published report rec'd - same as Mar report
	2008	Administration	3/1/2008	3/6/2008	Provided at the Mar 2008 LFC meeting

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
4 17-1-505(2)	Agencies	Upon Occur.	LFD	Moe	
Short Description: Report on the identification of the use of a dedicated revenue provision that does not satisfy the criteria established in statute. The Legislative Fiscal Analyst will report these to the LFC no later than Oct. 31 of the year preceding a regular session.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008				None reported

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
5 17-1-102(4)	Administration	FY End	LFC	DeWitt	
Short Description: Reports on general fund/non-general fund encumbrances remaining at fiscal year-end. After 3 years, approved extensions must be reported.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	Administration	9/15/2007	9/4/2007	On file - GF encumbrance extensions
	2008	Administration	9/15/2007	9/4/2007	On file - Non-GF encumbrance extensions
	2009	Administration	9/15/2008	9/9/2008	On file - Non-GF encumbrance extensions
	2009	Administration	9/15/2008	9/9/2008	On file - GF encumbrance extensions

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Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
6 17-2-107(5)	CHE/Administration	Annual	LFC	Joehler	DeWitt
Short Description: Loans or loan extensions authorized for two consecutive fiscal years to same accounting entity - due 9/1 of the next year.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	CHE	9/1/2007	9/4/2007	On file
	2008	Administration	9/1/2007	9/17/2007	On file
	2009	Administration	9/1/2008	9/9/2008	On file
	2009	CHE	9/1/2008	9/15/2008	On file

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
7 17-2-107(6)	Commissioner Higher Ed	Annual	LFC	Joehler	
Short Description: Negative cash balances for any two consecutive fiscal years - due 9/1 of the next year.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	CHE	9/1/2007	11/30/2007	None to report except MSU-N item
	2008	CHE	11/30/2007	11/20/2007	Related report - MSU-N specific
	2009	CHE	9/1/2008	9/29/2008	None to report per CHE office

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
8 17-2-111	Administration	Feb. 1, 2008	LFC	Moe	
Short Description: Information of state special revenue accounts if requested by the LFC. (A letter was sent in December 2007 to the Department of Administration requesting certain information on state special revenue accounts established or modified since July 1, 2002.)					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	Administration	2/1/2008	1/31/2008	On file and being reviewed by LFD staff

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Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
9 17-2-304(1)	Approving Authority	Sept 15	LFC	Purdy	
Short Description: Information concerning accounts with cash balances in excess of statutory limits; certification of statutory exceptions.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	OBPP	9/15/2007	10/5/2007	On file
	2009	OBPP	9/15/2008	9/26/2008	On file

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
10 17-7-140(1)(b)	Agencies	Upon Occur.	LFD	Purdy	
Short Description: Analyze if GF program is mandatory and analyze the impact of the proposed reduction in spending in instances in which the Governor is proposing reductions in spending of up to 10 percent. The "trigger" for this to occur is in statute.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	0				No reported occurrences

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
11 17-7-140(1)(b)	Budget Director	Upon Occur.	LFD	Purdy	
Short Description: Copy of the recommendations for reductions in spending. The "trigger" for this to occur is in statute.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008				No reported occurrences

Required Reports List for 2008-09 Biennium

Prepared by the Legislative Fiscal Division

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
12 17-7-304(2)	USystem (Bd of Regents)	If Requested	LFC	Joehler	Duncan
Short Description: Long-term plan for major and deferred maintenance expenditures and equipment or fixed assets.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008				Not requested at this time

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
13 17-8-101 (5)	OBPP	Semi-Annual	LFC	Purdy	
Short Description: Appropriations transferred from one state agency to another if original purpose is maintained.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	OBPP	9/15/2007	7/20/2007	On file
	2008	OBPP	2/15/2008	2/4/2008	On file
	2009	OBPP	9/15/2008	9/8/2008	On file

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
14 17-8-101 (7)	Administration	Annually	LFC	DeWitt	
Short Description: Nature, status, and justification for all new accounts in the enterprise fund and the internal service fund.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	Administration	9/30/2007	9/18/2007	On file
	2009	Administration	9/30/2008	9/9/2008	On file

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Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
15 33-22-1514(4)	Lead Carrier (BC/BS)	Semi-Annual	LFC	Wilkinson	
Short Description: Report on the operation of the Montana Comprehensive Health Association plan by selected lead carrier, which is Blue Cross/Blue Shield. (Copies on the annual report are sent direct to all legislators.)					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	Blue Cross/Blue Shield	10/15/2007	10/9/2007	On file - FY07 annual report
	2008	Blue Cross/Blue Shield	4/15/2008	3/26/2008	On file - FY08 semi-annual report
	2009	Blue Cross/Blue Shield	10/15/2008		FY 2008 annual report expected in Oct

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
16 39-71-2363(2c)	State Fund	October 1	LFC	Wilkinson	
Short Description: Submit for review the approved annual budget for State Fund for the succeeding fiscal year.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	State Fund	10/1/2007	10/1/2007	On file - copy of 2008 budget request
	2009	State Fund	10/1/2008		To be presented at Oct LFC meeting

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
17 50-4-805(5)(a)	DPHHS	TBD	LFC	Steinbeck	
Short Description: Interim reports on the status of the creation or expansion of community health centers and program expenditures as provided in HB 406 (2007 session)					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	DPHHS			Occasional oral reports to CFHHS interim committee
	2009	DPHHS		9/12/2008	On file - SFY 2008 report

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Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
18 53-6-110(4)	DPHHS	Monthly	LFC	Steinbeck	
Short Description: Monthly (11/15 - 6/15) reports containing estimates of the cost for Medicaid services and a budget status report for all department programs, and a fiscal year-end summary of Medicaid costs and department budget status report.					
Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	DPHHS	9/15/2007	9/15/2007	FYE report - provided to LFA analysts
	2008	DPHHS	11/15/2007	11/29/2007	Provided to LFA analysts
	2008	DPHHS	12/15/2007		Not received?
	2008	DPHHS	1/15/2008	3/21/2008	Provided to LFA analysts
	2008	DPHHS	2/15/2008		Not received?
	2008	DPHHS	3/15/2008	3/24/2008	Provided to LFA analysts
	2008	DPHHS	4/15/2008	5/27/2008	Provided to LFA analysts
	2008	DPHHS	5/15/2008	6/12/2008	Provided to LFA analysts
	2008	DPHHS	6/15/2008		Not received?
	2009	DPHHS	9/15/2008	9/15/2008	FYE report - provided to LFA analysts

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
19 53-6-116(5)	DPHHS	Upon Occur.	LFC	Steinbeck	
Short Description: Managed-care and capitated health care systems for medicaid recipients if developed.					
Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008				No reported occurrences - none expected

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Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
20 53-1-611(1)	DPHHS	Upon Occur.	LFC	Steinbeck	
Short Description: Report on proposed Medicaid block grants with respect to statutory criteria for evaluating such grants					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008				No reported occurrences

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
21 53-21-702(4)(d)	DPHHS	Upon Occur.	LFC	Steinbeck	
Short Description: Mental Health Oversight Advisory Council summary of each meeting and copy of any recommendations made to the department					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008				No reported occurrence

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
22 75-1-1101(5)	Governor (DNRC)	Annually by Sept 15	LFC	Smith	
Short Description: Annual financial report on the environmental contingency account, including a description of all expenditures made since the previous report (HB 116 of 2007 session effective July 1, 2007). First report would be for FY ending June 30, 2008.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2009	Governor (DNRC)	9/15/2008	9/24/2008	On file

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Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
23 85-1-605(4)	Nat Resources & Cons	Upon Occur.	LFC	Duncan	
Short Description: Allocation of renewable resource grants and loans for emergencies.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	DNRC		9/1/2007	On file
	2008	DNRC		2/13/2008	On file - no change from previous report
	2008	DNRC		5/14/2008	On file
	2008	DNRC		9/1/2008	On file

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
24 90-6-703	Commerce	Upon Occur.	LFC	Duncan	
Short Description: Report on emergency grants awarded from an appropriation from the treasure state endowment special revenue account for emergency grants.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2008	Commerce		9/19/2007	On file
	2008	Commerce		11/29/2007	On file