



GOVERNOR'S OFFICE OF
BUDGET AND PROGRAM PLANNING

Fiscal Note 2021 Biennium

Bill # HB0025

Title: Use of validated tools for assessing needs of developmentally disabled persons

Primary Sponsor: Kelker, Kathy

Status: As Amended

- Significant Local Gov Impact
 Needs to be included in HB 2
 Technical Concerns
 Included in the Executive Budget
 Significant Long-Term Impacts
 Dedicated Revenue Form Attached

FISCAL SUMMARY

	<u>FY 2020</u> <u>Difference</u>	<u>FY 2021</u> <u>Difference</u>	<u>FY 2022</u> <u>Difference</u>	<u>FY 2023</u> <u>Difference</u>
Expenditures:				
General Fund	✓ \$201,484	✓ \$167,111	✓ \$169,626	✓ \$170,370
Federal Special Revenue	✓ \$201,484	✓ \$167,111	✓ \$169,626	✓ \$170,370
Revenue:				
General Fund	\$0	\$0	\$0	\$0
Federal Special Revenue	✓ \$201,484	✓ \$167,111	✓ \$169,626	✓ \$170,370
Net Impact-General Fund Balance:	<u>(\$201,484)</u>	<u>(\$167,111)</u>	<u>(\$169,626)</u>	<u>(\$170,370)</u>

Description of fiscal impact: HB 25 outlines when individuals are required to have a needs assessment in order to be successful in the community. The bill requires the Department of Public Health and Human Services (the department) to conduct the assessments using a multidisciplinary approach that must include the use of appropriate, valid and reliable standardized assessment tools and other relevant assessments for the full spectrum of needs.

FISCAL ANALYSIS

Assumptions:

1. The department anticipates using a range of assessment tools for conducting multidisciplinary assessments.
2. The initial intake assessment will be completed utilizing the Inventory for Client and Agency Planning (ICAP) assessment tool.
3. The ICAP will be completed for all existing waiver individuals (2,743) and any individuals being screened into the waiver during the biennium. The department estimates new screenings will be approximately 50 per year. The department's Quality Improvement Specialist (QIS) staff will conduct these assessments with each recipient's annual individual cost plan (ICP) review until all current waiver individuals have a completed

- ICAP assessment as a part of their plan of care. The cost of each assessment is \$4.10 per assessment ($\$4.10 \times 2,743 = \$11,246$) ($\$4.10 \times 50 = \205) with no additional QIS FTE's needed to complete these assessments. The fixed cost per department QIS for program licensing is \$453 per QIS ($\$453 \times 23 \text{ FTE QIS} = \$10,419$).
4. The department will utilize the standardized Supports Intensity Scale (SIS) assessment tool for mid-level assessments of changing behaviors and needs.
 5. The estimated cost of purchasing the SIS assessment limited license program is \$28,685 including \$22,500 for training in FY 2020 with a recurring annual cost of \$6,185 per year for FY 2021, FY 2022 and FY 2023. In addition to the licensing fees, there is a per assessment fee of \$ 21.29 each. The department estimates 650 mid-level assessments per year with a cost of \$13,838 ($650 \times \$21.29 = \$13,838$) for FY 2021, FY 2022 and FY 2023.
 6. For the smaller subset of individuals who need a specialized assessment tool, because of changes in behaviors or abilities, the department will use the Institute for Applied Behavior Analysis (IABA) Functional Behavior Assessment (FBA).
 7. The SIS and FBA assessment tool will require an additional 5.00 FTE Behavior Support Specialists, one for each regional office. Salary and benefits are calculated for job code 211195 at 83% midpoint of the 2018 market and total \$257,838 in FY 2020, \$257,838 in FY 2021, \$262,276 in FY 2022 and \$263,719.87 in FY 2023 at 83% of market.
 8. Operating expenses associated with additional 5.00 FTE Behavior Support Specialists are \$7,735 in FY 2020, \$7,735 in FY 2021, \$7,868 in FY 2022 and \$7,912 in FY 2023.
 9. New employee office supplies and computer equipment are \$14,000 in total for 5.0 FTE in FY 2020.
 10. The Behavior Support Specialists will be required to achieve IABA certification, and the cost for each FTE to receive IABA certification is \$3,000. This training is expected to be completed in FY 2020. The Department will also train 3.0 FTE in the central office that are a part of the multi-disciplinary team for a total of eight IABA certified department staff. This training can be completed online with no travel expense required. The total cost to train staff in IABA assessments is \$24,000. Also, one training per year for staff update is anticipated in FY 2021, FY 2022 and FY 2023 at \$3,000 per year.
 11. Travel expenses for the annual estimated 650 SIS assessments and 100 FBA assessments are estimated at \$35,000 for each year.
 12. Estimates include a 1.5% increase in FY 2022 and FY 2023 for license fees and assessment fees.

Service and Operating Expenditures	FY2020	FY2021	FY2022	FY2023
ICAP - Initial Intake				
Program License fee	10,419	10,419	10,575	10,575
ICAP Assessment of existing waiver individuals	11,246			
ICAP Assessment of new waiver individuals	205	205	208	208
Total ICAP Cost	\$ 21,870	\$ 10,624	\$ 10,783	\$ 10,783
SIS - Mid-Level & FBA Specialized Assessment				
SIS Program License fee	6,185	6,185	6,278	6,278
SIS Initial training and Train the Trainer training	22,500			
Annual estimated SIS assessments	13,839	13,839	14,046	14,046
5.0 FTE Behavior Support S	257,838	257,838	262,276	263,720
Operational Expenses associated with 5.0 FTE	7,735	7,735	7,868	7,912
New employee office equipment and supplies	14,000			
IABA certification 8.0 staff	24,000	3,000	3,000	3,000
Estimated annual travel expense	35,000	35,000	35,000	35,000
Total SIS Cost	\$ 381,097	\$ 323,597	\$ 328,468	\$ 329,956
HB25 Total Operating Expenditure	\$ 402,967	\$ 334,221	\$ 339,251	\$ 340,739
Funding*				
Federal Expenditure	\$ 201,483	\$ 167,110	\$ 169,626	\$ 170,370
State General Fund	\$ 201,483	\$ 167,110	\$ 169,626	\$ 170,370
Total Funds	\$ 402,967	\$ 334,221	\$ 339,251	\$ 340,739

	<u>FY 2020</u> <u>Difference</u>	<u>FY 2021</u> <u>Difference</u>	<u>FY 2022</u> <u>Difference</u>	<u>FY 2023</u> <u>Difference</u>
<u>Fiscal Impact:</u>				
FTE	5.00	5.00	5.00	5.00
<u>Expenditures:</u>				
Personal Services	\$257,838	\$257,838	\$262,276	\$263,720
Operating Expenses	\$145,129	\$76,382	\$76,975	\$77,019
TOTAL Expenditures	\$402,967	\$334,220	\$339,251	\$340,739
<u>Funding of Expenditures:</u>				
General Fund (01)	\$201,484	\$167,110	\$169,626	\$170,370
Federal Special Revenue (03)	\$201,484	\$167,110	\$169,626	\$170,370
TOTAL Funding of Exp.	\$402,967	\$334,220	\$339,251	\$340,739
<u>Revenues:</u>				
General Fund (01)	\$0	\$0	\$0	\$0
Federal Special Revenue (03)	\$201,484	\$167,110	\$169,626	\$170,370
TOTAL Revenues	\$201,484	\$167,110	\$169,626	\$170,370
<u>Net Impact to Fund Balance (Revenue minus Funding of Expenditures):</u>				
General Fund (01)	(\$201,484)	(\$167,110)	(\$169,626)	(\$170,370)
Federal Special Revenue (03)	\$0	\$0	\$0	\$0

Technical Notes:

1. Approval of a State Plan Amendment (SPA) and/or Waiver amendment is needed from the Centers of Medicare and Medicaid Services (CMS) to receive federal matching funds for services.
2. The effective date of this proposed bill is July 1, 2019. The department would need to have an approved SPA or Waiver amendment before the new process could begin. An anticipated start date is October 1, 2019. Consequently, participants in the waiver prior to October 1st, 2019 will be on a priority plan for reassessment according to the tools provided for in Section 1.
3. Participants entering the waiver after October 1st, 2019 will be assessed with the new tool.

KAR
Sponsor's Initials
01/31/2019
Date
TL
Budget Director's Initials
1/30/19
Date