

LEGISLATIVE AUDIT DIVISION

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IT Initiatives and Operations (23DP-03)

Department of Labor and Industry & Department of Administration

Audit Objectives (*what the audit intends to accomplish or questions auditors will answer*):

- Determine if DLI has implemented vendor management practices and safeguards the security of newly implemented IT application(s).
- Determine if DLI is managing organizational change, risk, and IT services to ensure success of multiple large IT initiatives.

Audit Scope (*the boundary of the audit & subject matter auditors will assess*):

- Audit general time frame relates to the DLI 2022 IT Plan and vendor contracts entered into.
- Vendor management and security requirements and best practices will be reviewed and summarized for criteria.
- Industry standards will be gathered surrounding organizational change, risk management, and IT service delivery.
- MT State law will be reviewed to determine DLI and SITSD's requirements for vendor management, security, and risk management.
- State law and best practices will be aligned to create an overall requirements matrix to compare to DLI operations to identify any gaps
- Survey will be sent out to DLI business users to measure IT services provided
- DLI and SITSD staff will be interviewed to identify roles and responsibilities related to IT services and security
- DLI IT contracts will be reviewed to discern roles and responsibilities for IT applications
- Current DLI methods on vendor management and security of IT applications, organizational change, risk, and IT service management will be reviewed and compared to criteria and state law