

Child Care Under the Big Sky (CCUBS)

Department of Health & Human Services

Audit Objectives: *(what the audit intends to accomplish, or questions auditors will answer)*

- Determine if CCUBS security governance:
 - Clearly defines responsibility of CCUBS security roles,
 - safeguards personal identifiable information and protected health information, and
 - appropriately prioritizes and remedies IT risks in a timely manner that follow IT best practices.
- Determine if CCUBS is obsolete or still provides business value to DPHHS.

Audit Scope: *(the boundary of the audit & subject matter auditors will assess)*

- Based off initial planning work the audit scope will involve DPHHS, contracted agencies, vendor charged with maintenance and support, and other states. The focus will be on security management, specifically organizing security across multiple organizations, risk identification procedures, and security documentation. Additionally, we will determine a return on investment for CCUBS by pulling together contract costs and system operation metrics and then compare to other states.
- The general timeframe of review will be contract information since 2011 and security policy from 2017-2020.
- Audit staff will perform the following general methods:
 - Review State and Agency rules and regulations
 - Review agency IT security functions, documentation, and risk identification and remedy procedures
 - Review Agency procedures for determining system value
 - Research other states systems like CCUBS
 - Gather information on system costs and value added
 - Determine Return on Investment for system