

# LEGISLATIVE AUDIT DIVISION

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## Lottery Security 23DP-02

### Montana Lottery

**Audit Objectives** *(what the audit intends to accomplish or questions auditors will answer):*

1. Determine the capability of Lottery's risk management process in identifying potential data, third-party service, and compliance risks that could impact lottery operations.
2. Determine the implementation status of the five recommendations provided to Lottery in the previous Lottery Security Audit.

**Audit Scope** *(the boundary of the audit & subject matter auditors will assess):*

- Review State requirements (statute / rule / policy) for risk management and appropriate best practices.
- Review Lottery risk management controls.
- Review the design factors used for Lottery's risk management controls, including identification, analysis, and response plans for risks associated with:
  - Handling and protection of confidential data.
  - Third-party service delivery.
  - External regulations and state law changes.
- Review steps taken by Lottery resulting from previous audit's recommendations.